

1st Italian Workshop on Astrochemistry Prebiotic Chemistry in Space

INSPECTING THE ROLE OF MINERALS IN PREBIOTIC PROCESSES AND SPACE-LIKE CONDITIONS

**Teresa Fornaro^{1,2}, John Brucato², Cecile Feuillie³, Dimitri Sverjensky⁴ and Robert M. Hazen⁵, Malgorzata Biczysko⁶,
Vincenzo Barone¹**

¹Scuola Normale Superiore, Pisa, Italy

²INAF-Astrophysical Observatory of Arcetri, Firenze, Italy

³University catholique de Louvain, Louvain-la-Neuve, Belgium

⁴Johns Hopkins University, Baltimore, MD, USA

⁵Carnegie Institution for Science, Washington DC, USA

⁶Shanghai University, China and CNR - Institute of Chemistry of Organometallic Compounds, Pisa, Italy

March 11, 2016 · Palazzo Strozzi, Firenze

Relevance of the Research

- Prebiotic chemistry

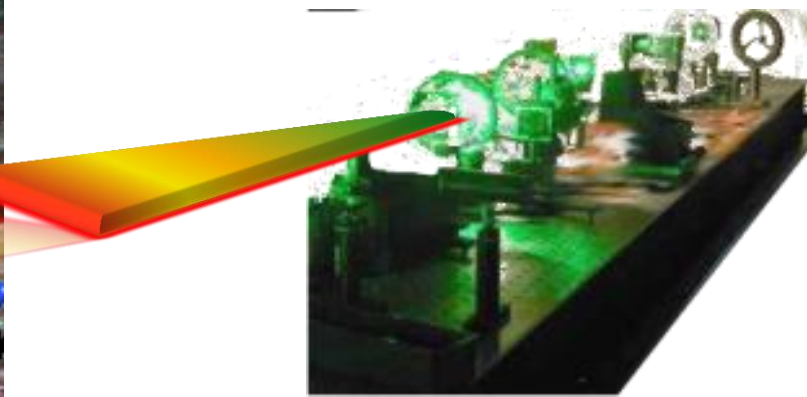
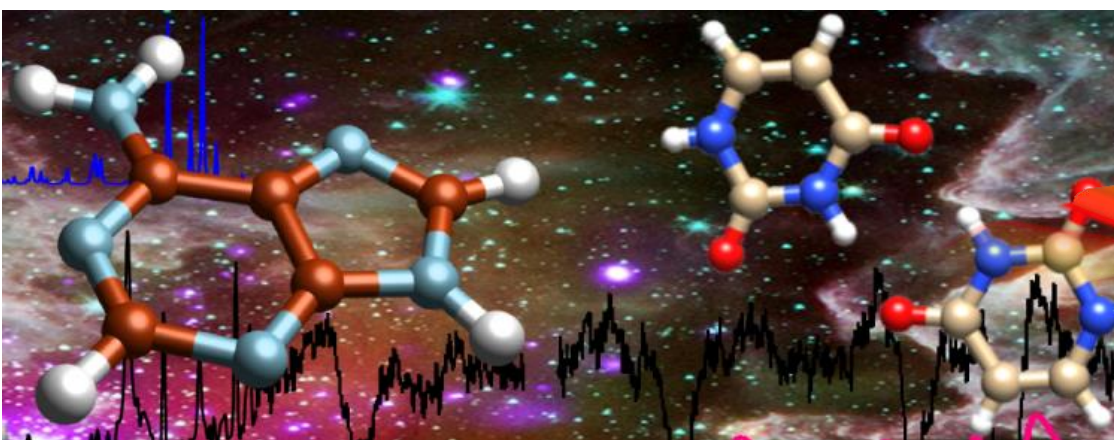
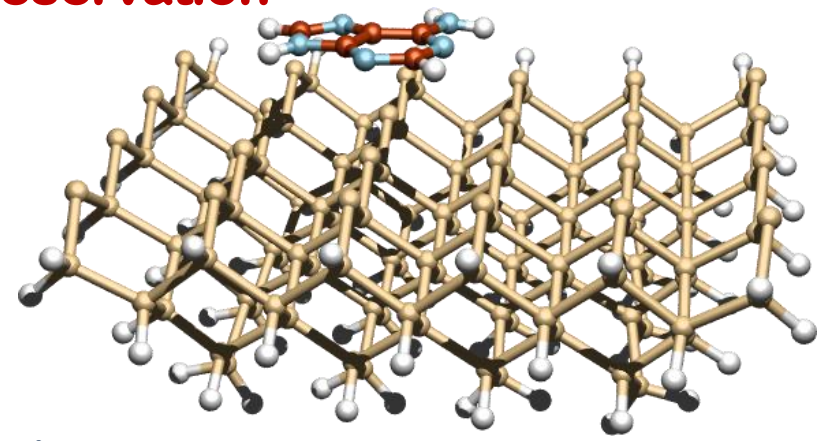
Role of **minerals** in the **transformation/preservation of biomolecules**

- Life detection

Identification of potential **biomarkers**

- *In situ* and remote sensing spectroscopy

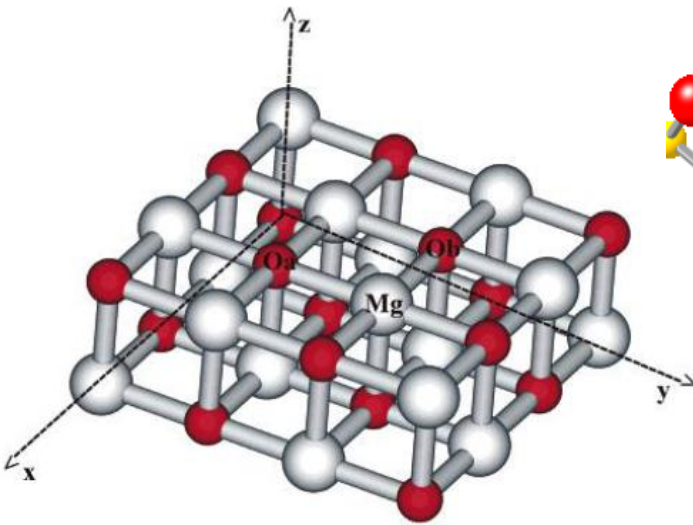
Detection of **organic compounds in space**



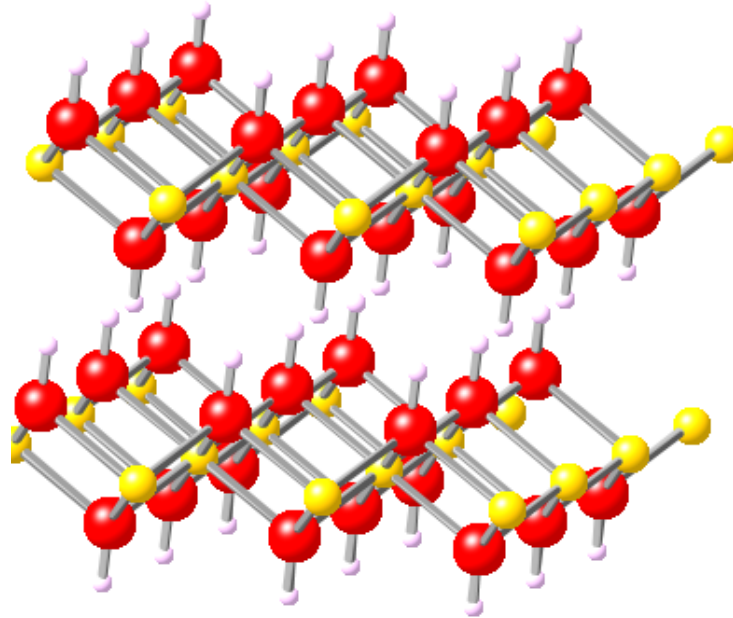
INTRODUCTION

Minerals: Metal Oxides, Hydroxides and Silicates

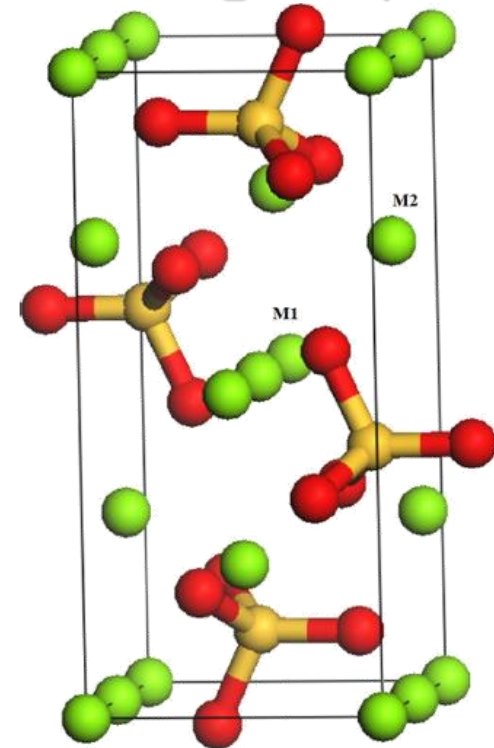
**Magnesium oxide
(MgO)**



**Magnesium Hydroxide,
Brucite (Mg(OH)₂)**



**Forsterite
(Mg₂SiO₄)**



Hazen & Sverjenski *Cold Spring Harbor Perspectives in Biology* 2010;
Holm *Geobiology* 2012; Fornaro et al. *International Journal of Astrobiology* 2013;
Fornaro et al. *Icarus* 2013; Estrada et al. *Geochim et Cosmochim Acta* 2015.

INTRODUCTION

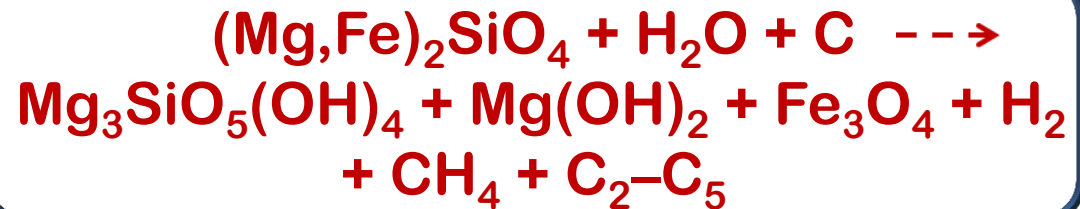
Interaction of Nucleic Acid Components with Mg-containing Minerals in two different Astrobiologically Relevant Environments:

1. Serpentinite-hosted Hydrothermal Vents

Lost City Hydrothermal Field



SERPENTINIZATION



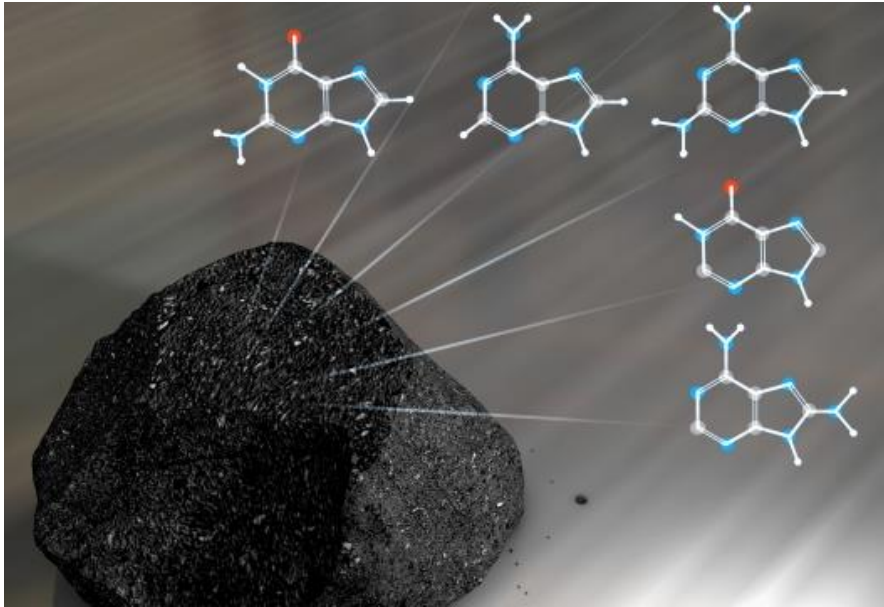
- ✓ Disequilibria, redox gradient potentially catalyze formation of prebiotic molecules
- ✓ Lower temperatures typical of the Lost City hydrothermal fluids favor biosynthesis

Holm et al. *Geochem Trans* **2006**; Shock and Canovas *Geofluids* **2010**; Holm *Geobiology* **2012**; Estrada et al. *Geochim et Cosmochim Acta* **2015**.

INTRODUCTION

Interaction of Nucleic Acid Components with Mg-containing Minerals in two different Astrobiologically Relevant Environments:

2. High UV Irradiation and Space-like Environments

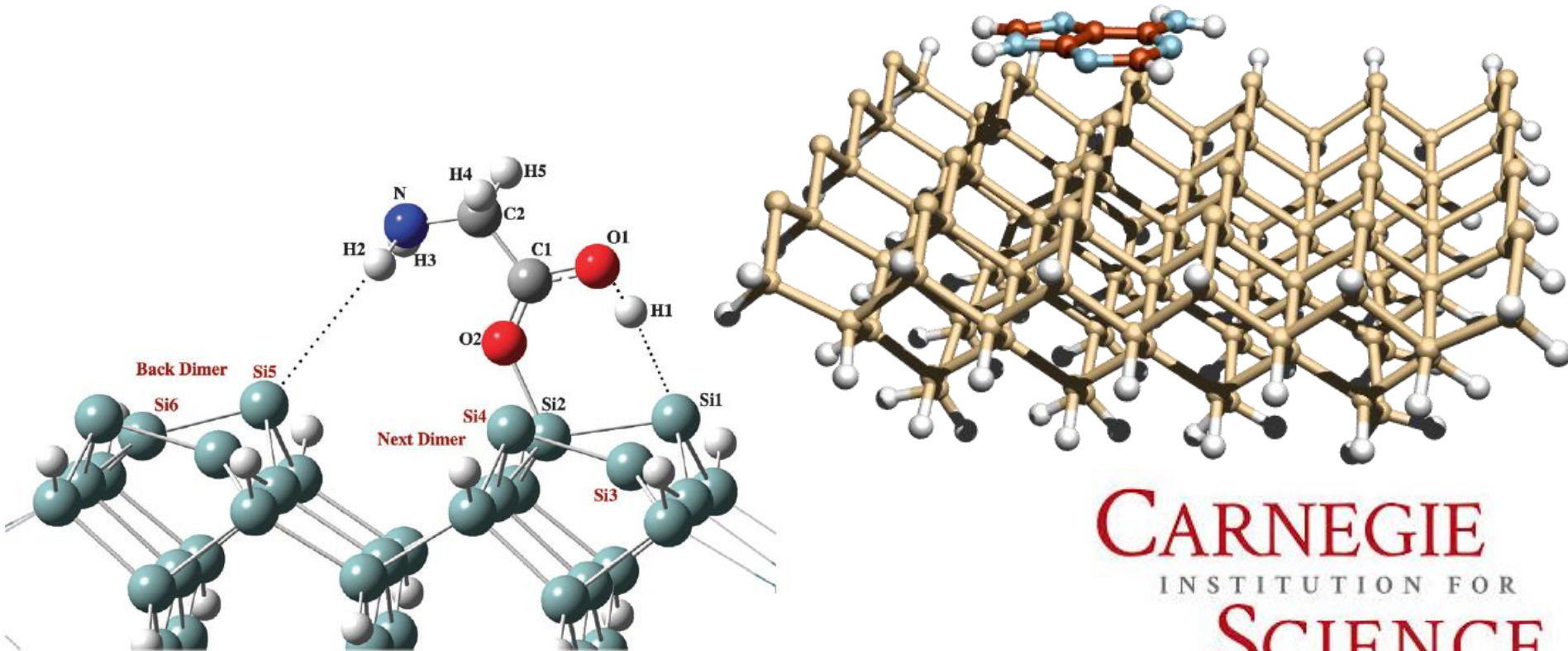


**Magnesium oxide (MgO);
Forsterite (Mg₂SiO₄)...**

Weinbruch et al. *Meteoritics & Planetary Science* **2000**; Barber and Scott *PNAS* **2002**;
Messenger et al. *Science* **2005**; Ming et al. *Journal of Geophysical Research* **2006**;
Chevrier and Mathé *Planetary and Space Science* **2007**; Poteet et al. *The Astrophysical Journal Letters* **2011**.

1. SERPENTINITE-HOSTED HYDROTHERMAL MINERALS

BINDING MECHANISM OF “BUILDING BLOCKS OF LIFE” TO MINERALS, STABILITY AND REACTIVITY UNDER PREBIOTIC CONDITIONS



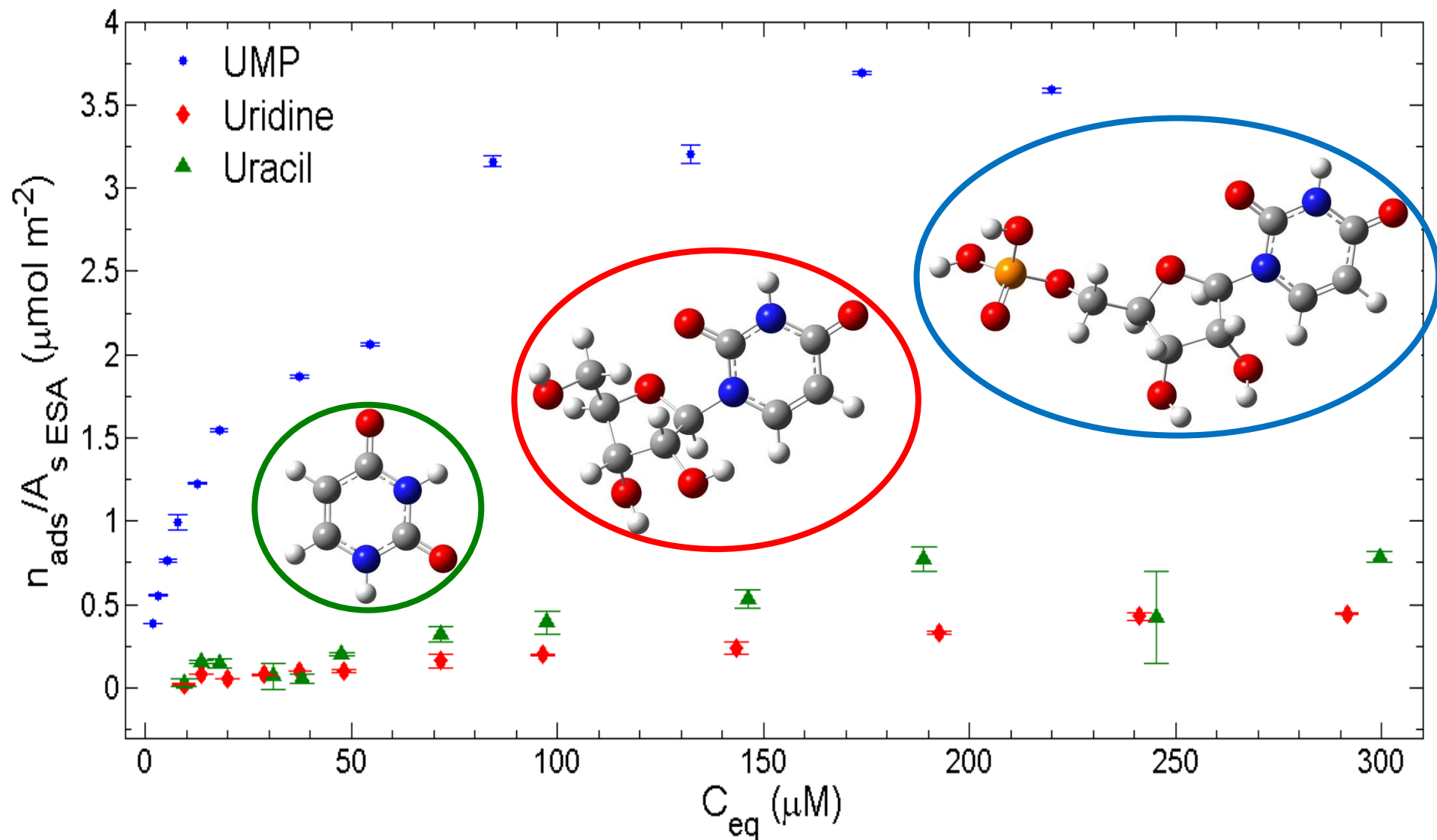
CARNEGIE
INSTITUTION FOR
SCIENCE

Thermodynamics of Adsorption of Nucleic Acids Components on Brucite in Water

- **Equilibrium Adsorption Isotherms at room temperature**
- **Quantitative Thermodynamic Characterization of the adsorption data with the Extended Triple-Layer Model (ETLM) (computer code GEOSURF)**

Sverjensky D.A. & Sahai N. *Geochimica et Cosmochimica Acta* **1996**,60(20), 3773-3797. Sahai N. & Sverjensky D.A. *Computers & Geosciences* **1998**,24(9), 853-873. Sverjenski D.A. *Geochimica et Cosmochimica Acta* **2003**,67(1),17-28. Sverjenski D.A. & Fukushi K. *Geochimica et Cosmochimica Acta* **2006**,70(15),3778-3802.

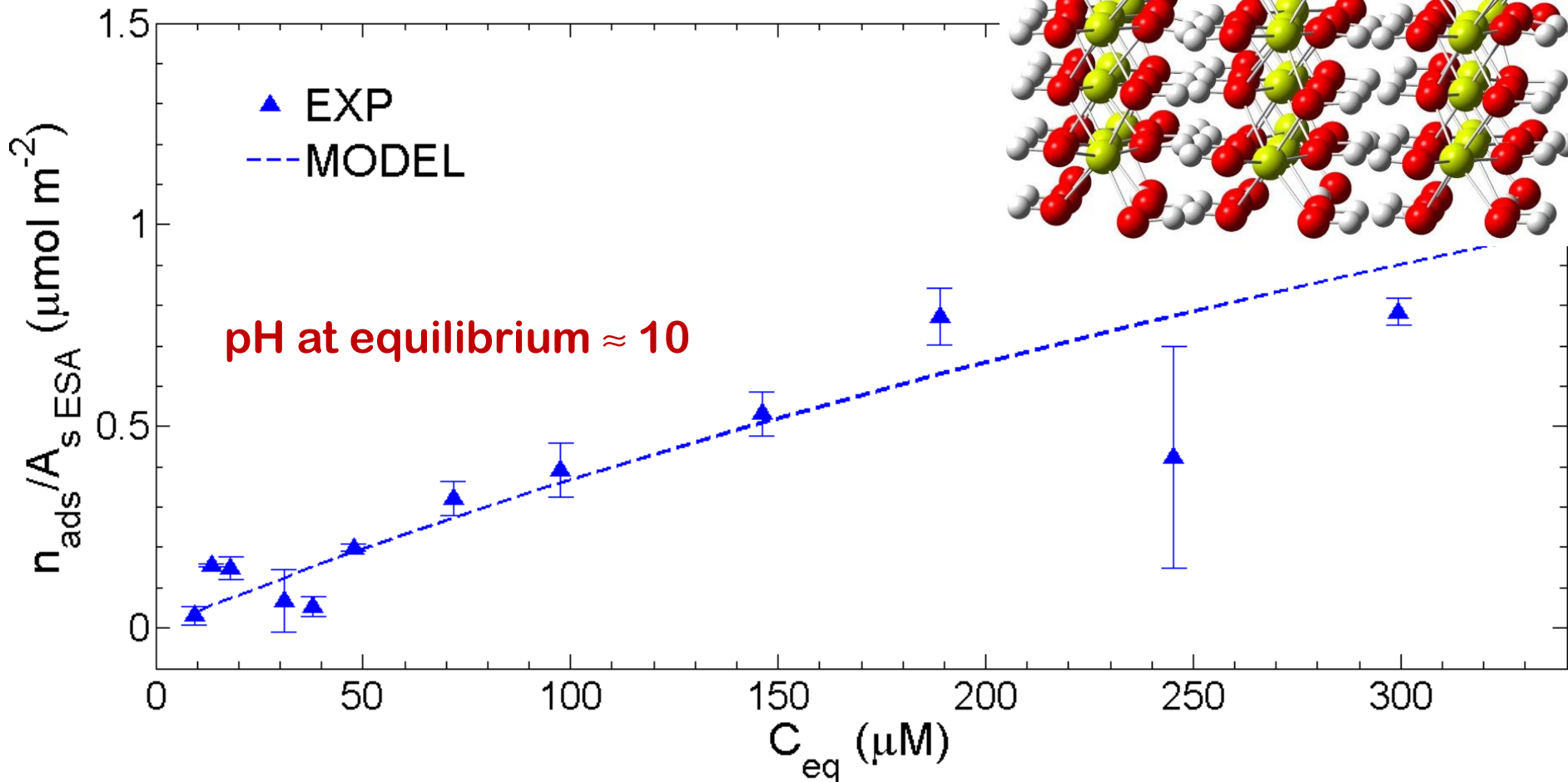
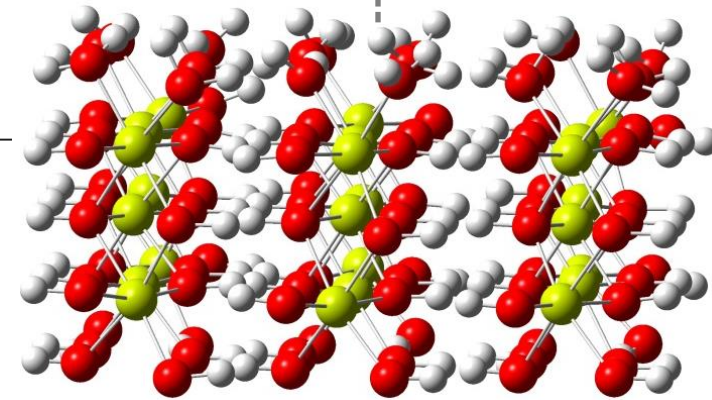
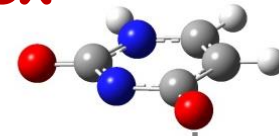
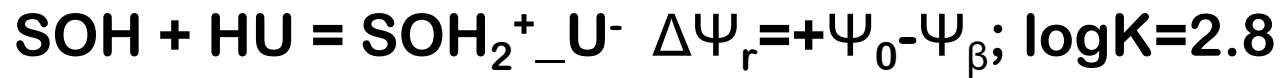
Adsorption of Uracil, Uridine and UMP on Brucite in Water



Ribose not involved in the adsorption (only weak outer-sphere interactions)
Strong interactions via Phosphate group

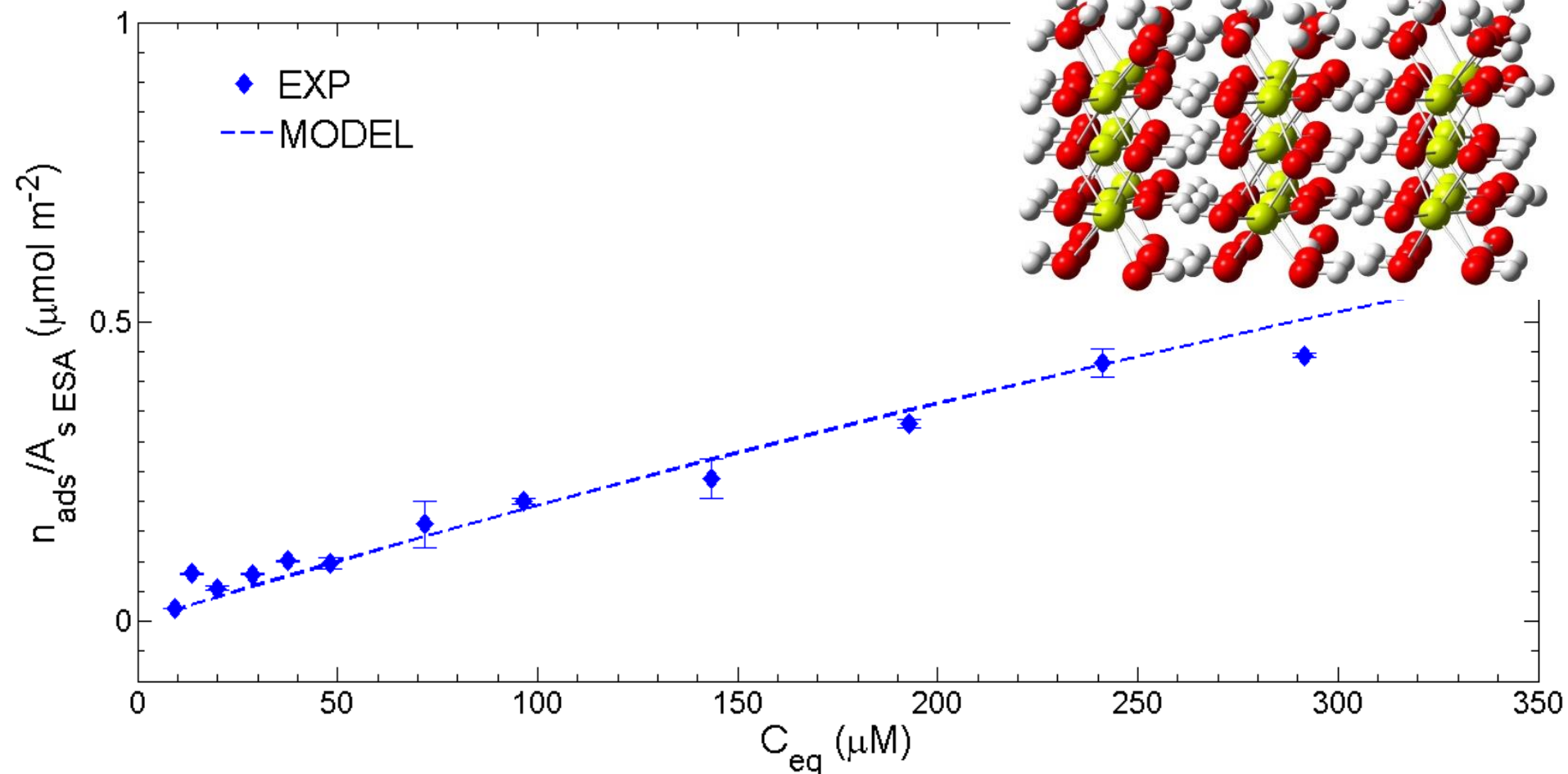
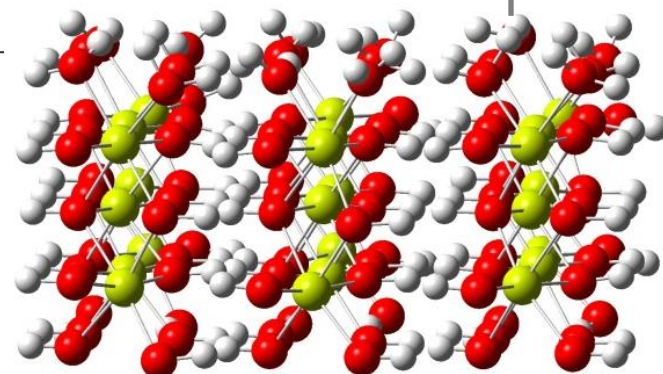
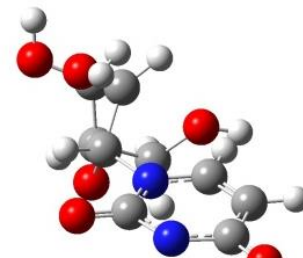
Adsorption of Uracil on Brucite in Water

Monodentate Outer-Sphere Surface Complex



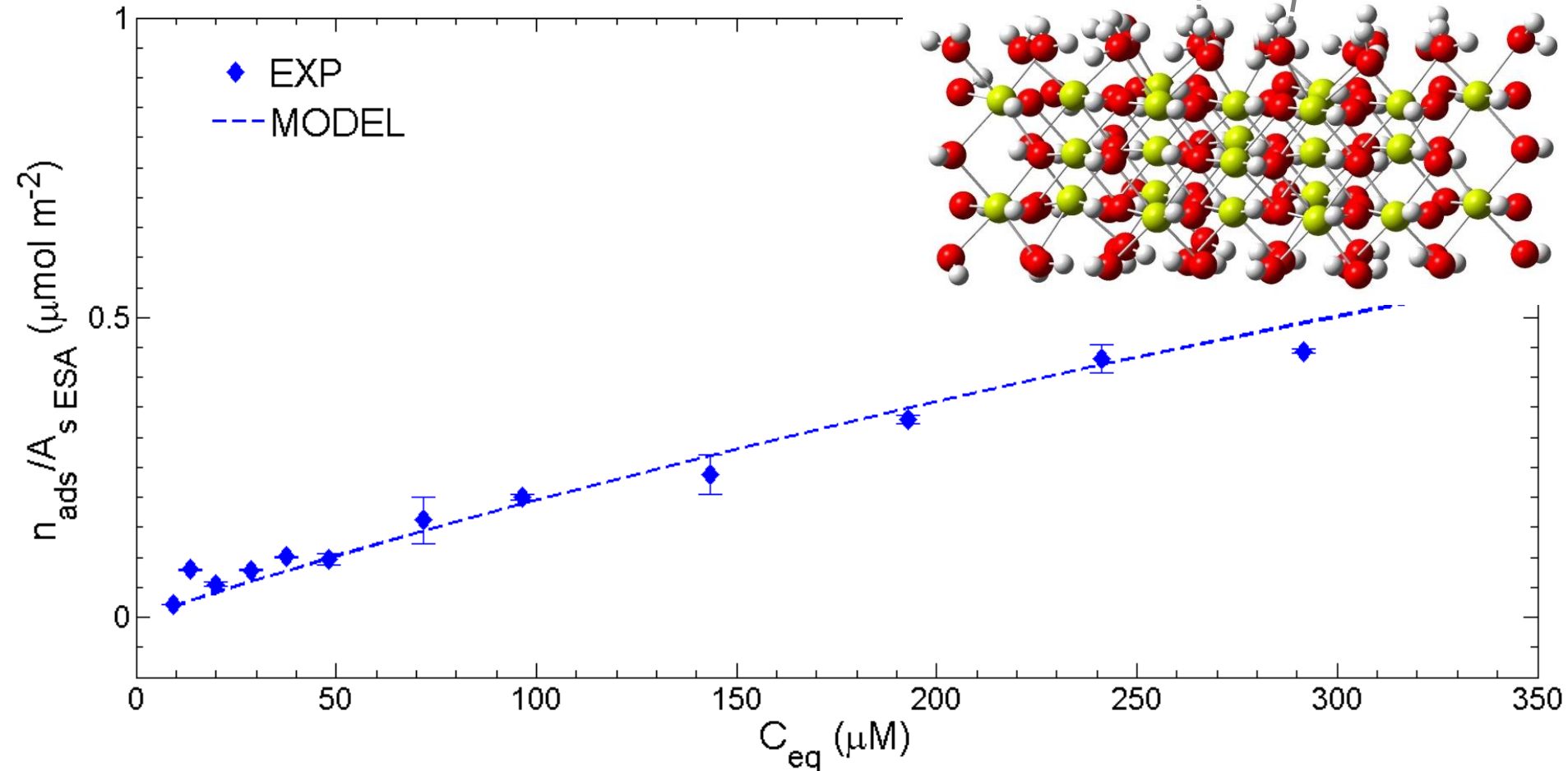
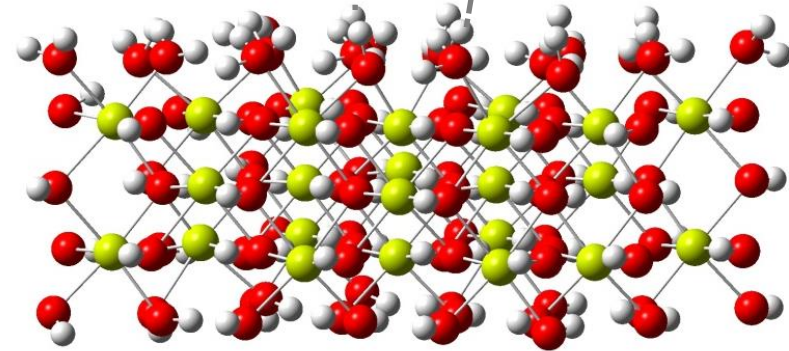
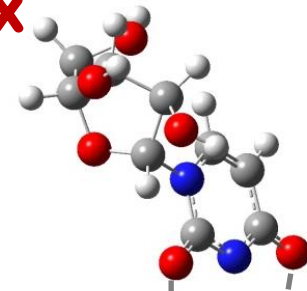
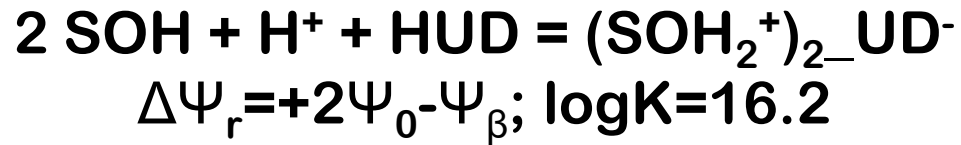
Adsorption of Uridine on Brucite in Water

Monodentate Outer-Sphere Surface Complex

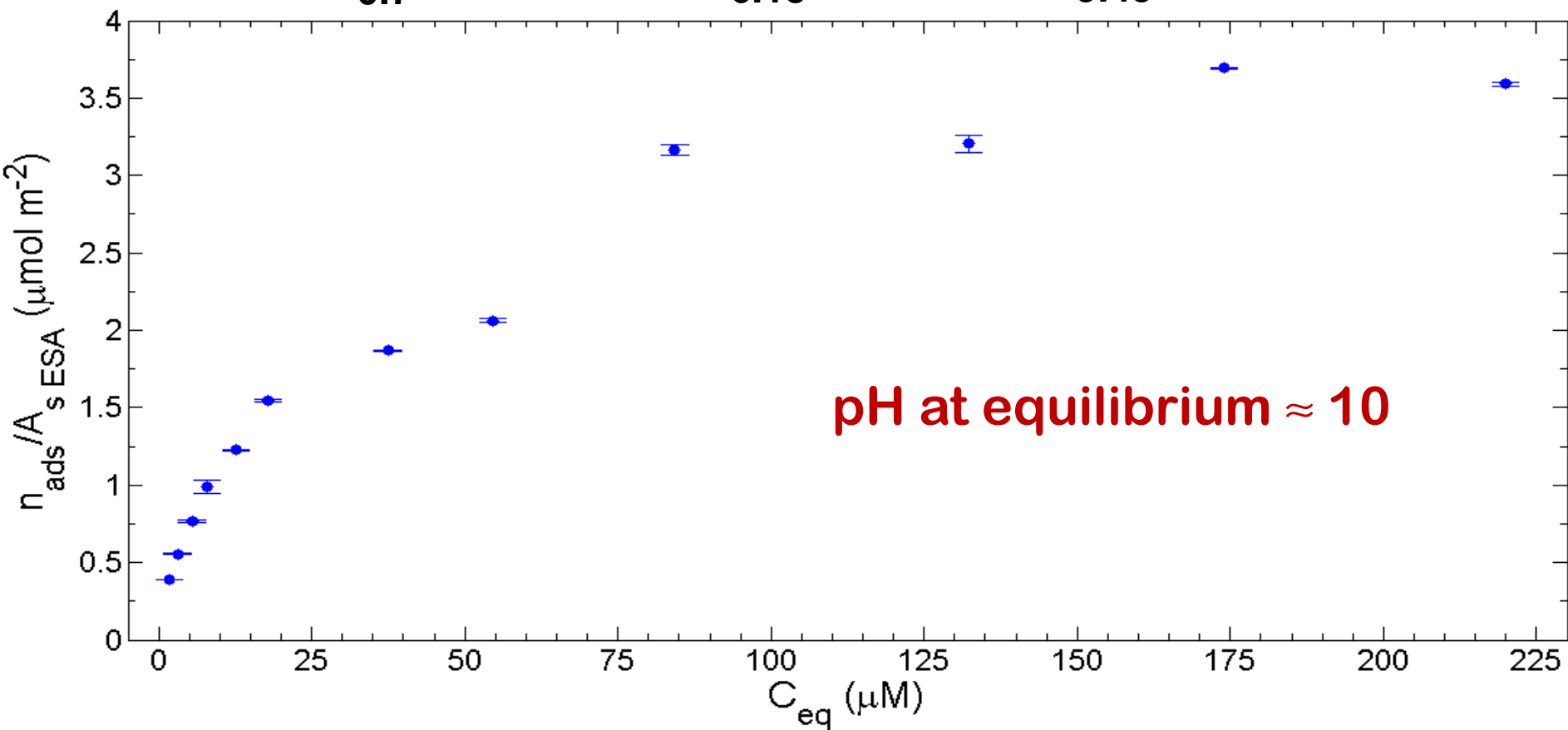
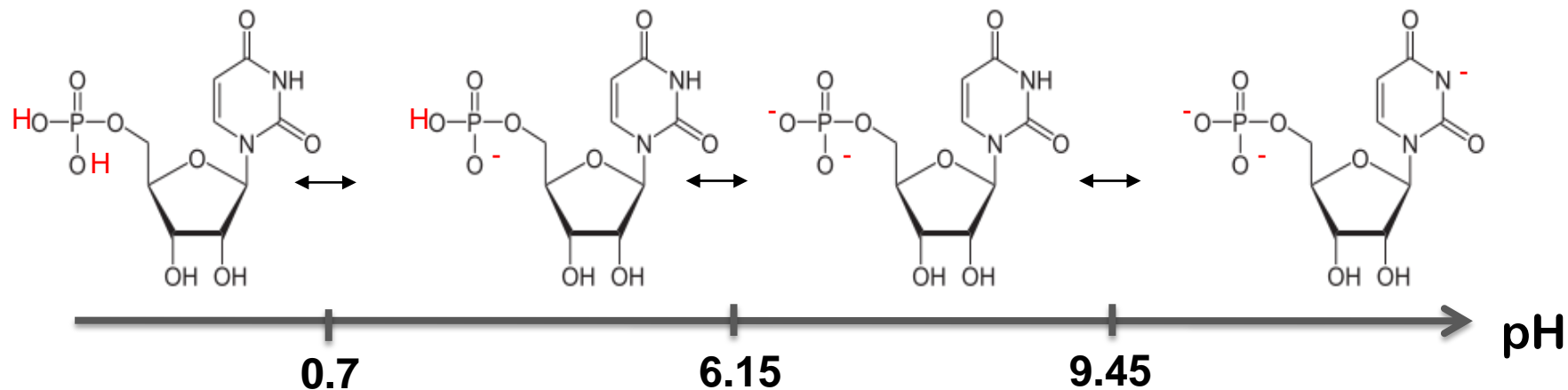


Adsorption of Uridine on Brucite in Water

Bidentate Outer-Sphere Surface Complex

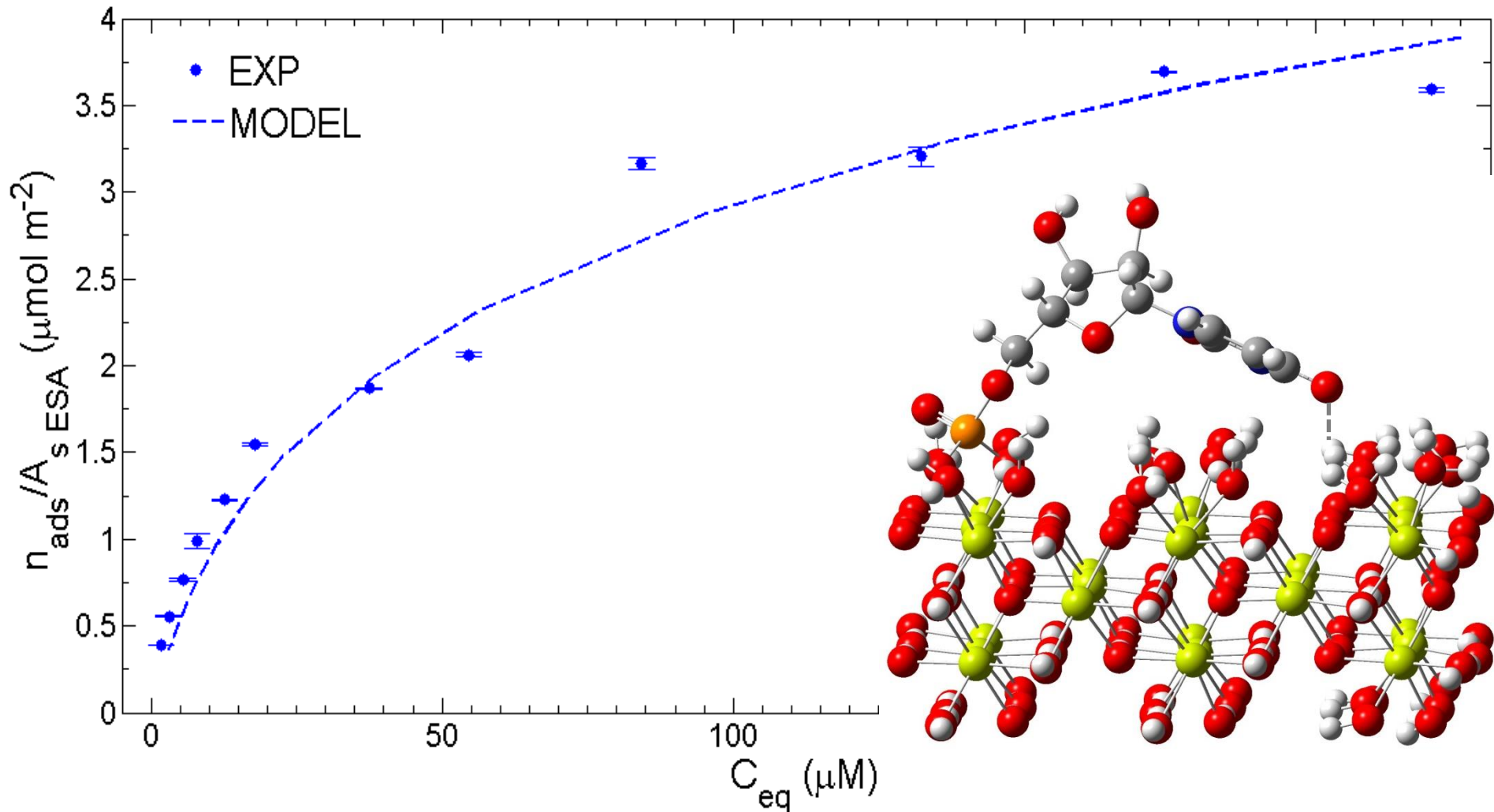
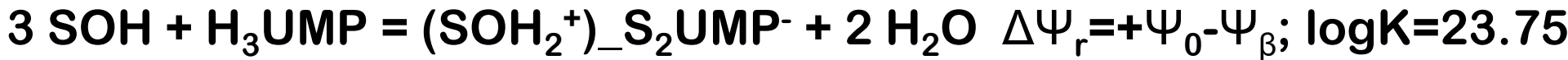


Adsorption of UMP on Brucite in Water



Adsorption of UMP on Brucite in Water

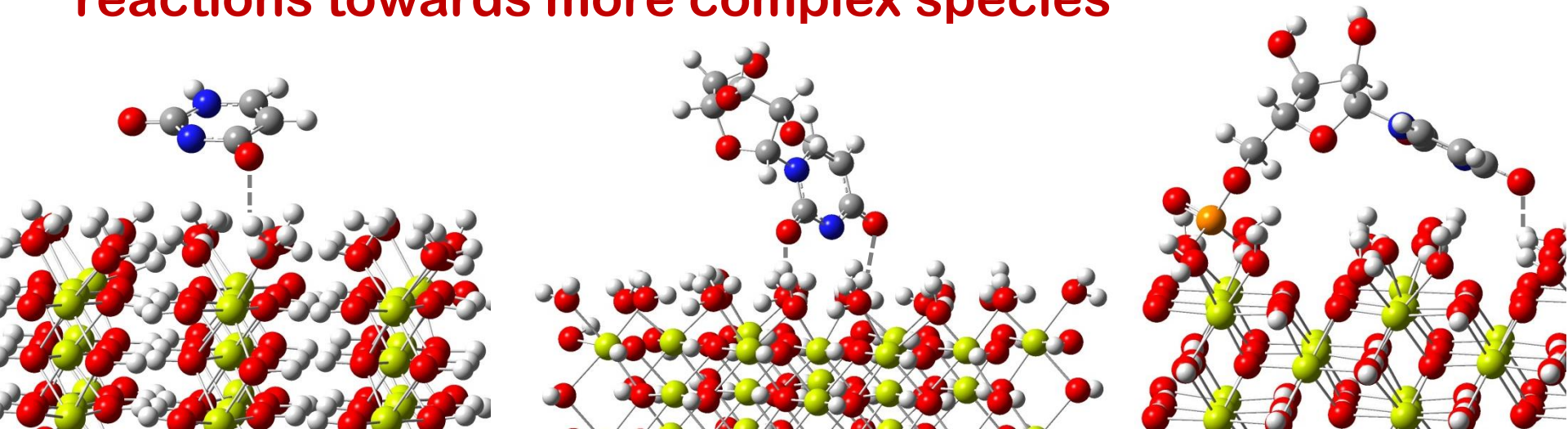
Tridentate with 2 Inner-Sphere Linkages and 1 Hydrogen Bond



1. SERPENTINITE-HOSTED HYDROTHERMAL MINERALS

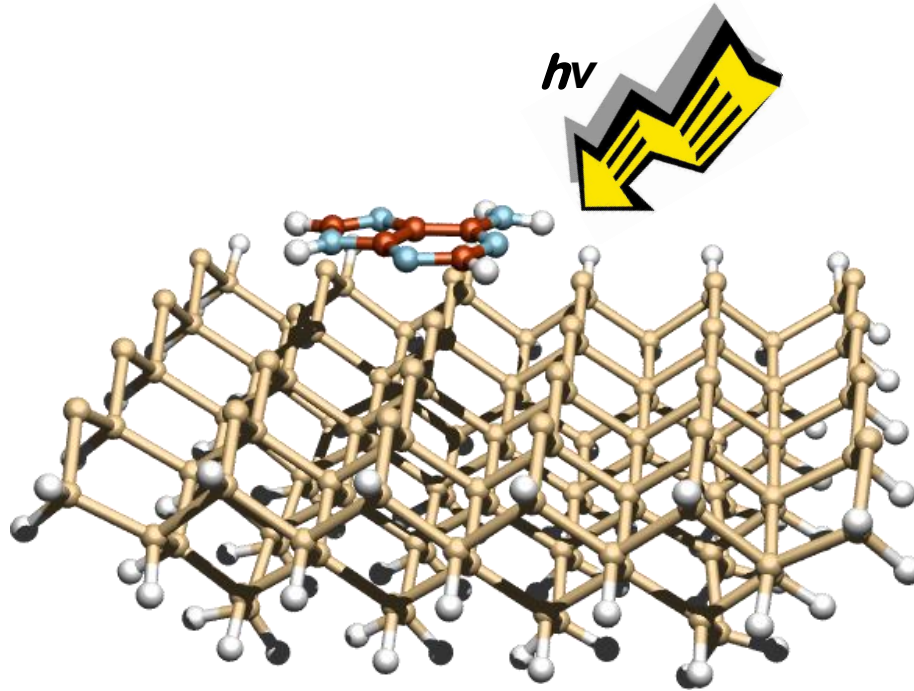
Summary

- Brucite selectively adsorbs nucleic acid components from dilute aqueous environments, suggesting a **role in concentrating biomolecules in prebiotic conditions**
- Brucite surface induces well-defined orientations of the molecules through specific molecule-mineral interactions, suggesting a **role in assisting prebiotic self-organization, increasing molecular complexity and promoting chemical reactions towards more complex species**



2. HIGH UV IRRADIATION AND SPACE-LIKE ENVIRONMENTS

UV IRRADIATION OF “BUILDING BLOCKS OF LIFE” ADSORBED ON MINERALS



INAF – Astrophysical Observatory of Arcetri

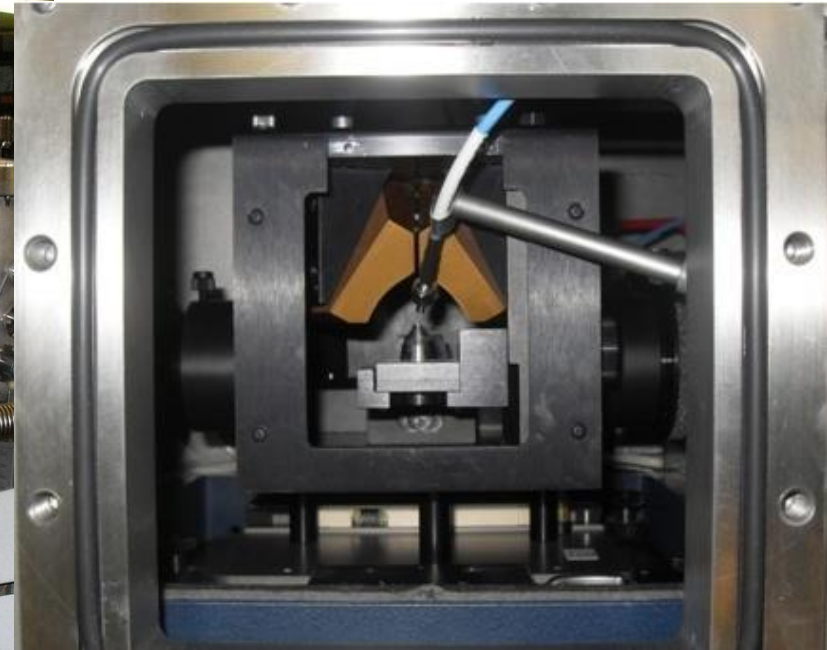
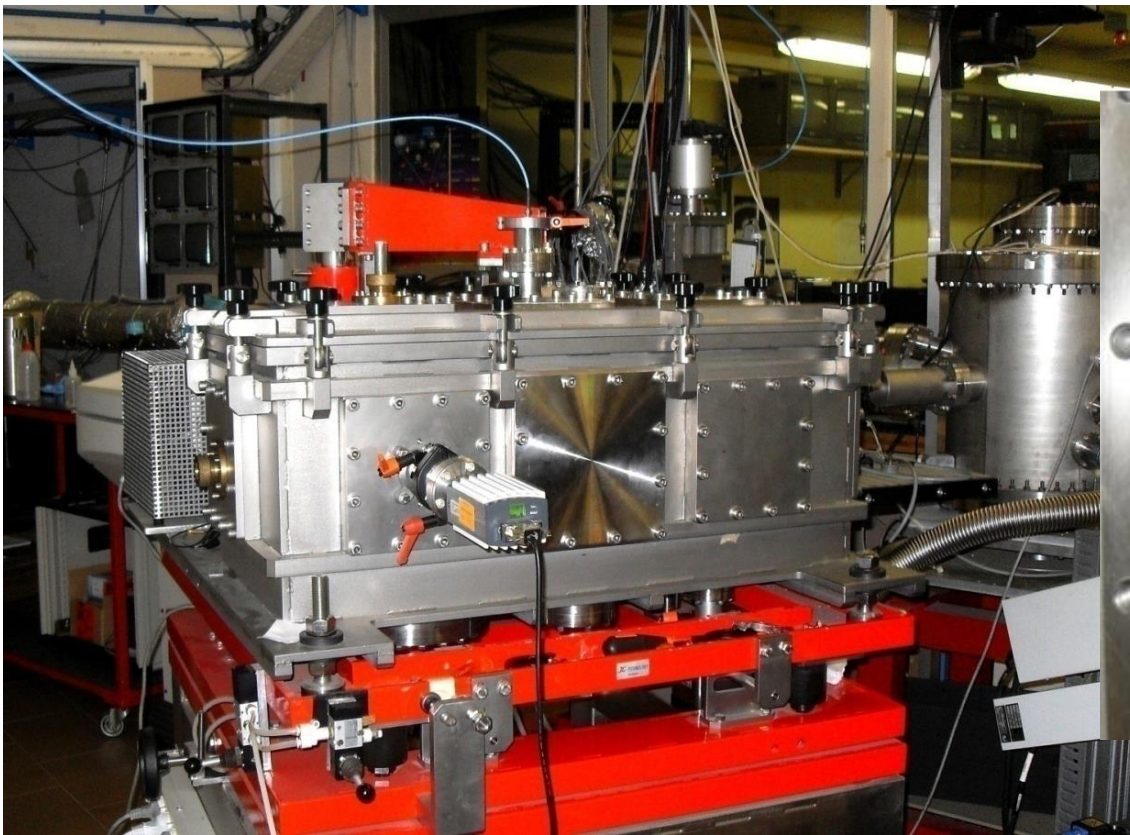


INFN – Laboratori Nazionali di Frascati



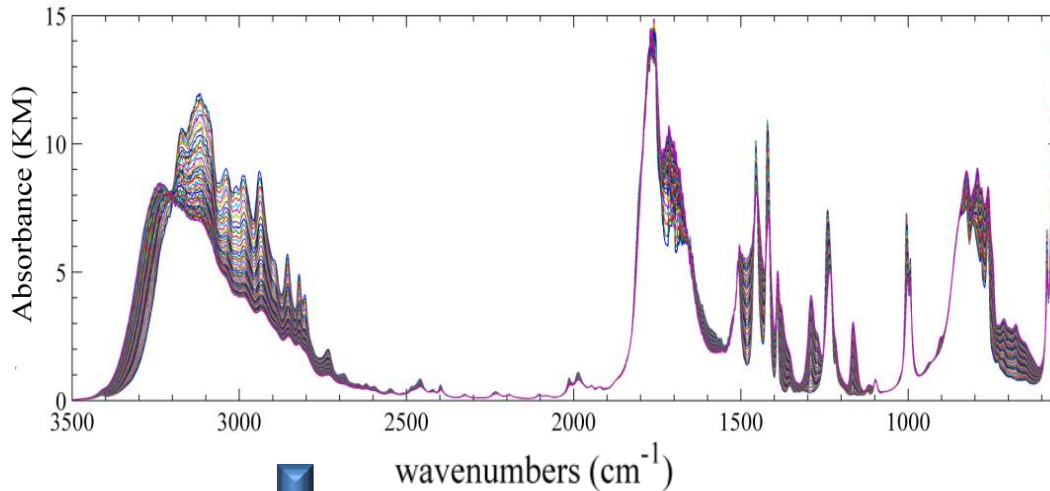
Experimental Setup

FTIR spectroscopic *in situ* analysis during UV irradiation in vacuum;
Biconical diffuse reflectance spectra acquisition technique (**DRIFTS**);
UV source Mercury-Xenon lamp 500 W, 185-2000 nm



UV IRRADIATION EXPERIMENTS

UV degradation kinetics



$$N(t)/N_0 = Be^{-\beta t} + c$$

$N(t)/N_0$ fraction of unaltered molecules

β degradation rate

B fraction of interacting molecules

c fraction of non-interacting molecules

$t_{1/2}$ half-lifetime

σ UV destruction cross section

Φ_{tot} total focused incident UV flux

A_0 sample irradiated area

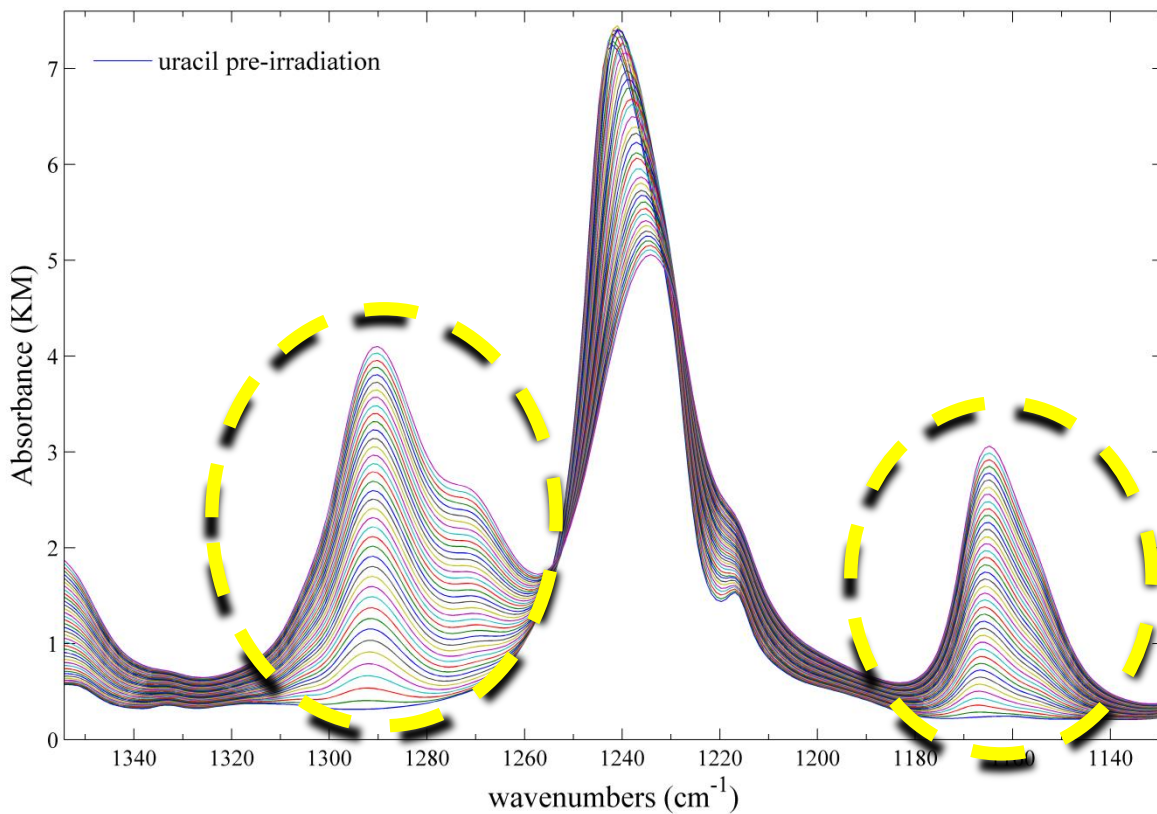
$$t_{1/2} = \ln 2 / \beta$$

$$\beta = \sigma \Phi_{\text{tot}} / A_0$$

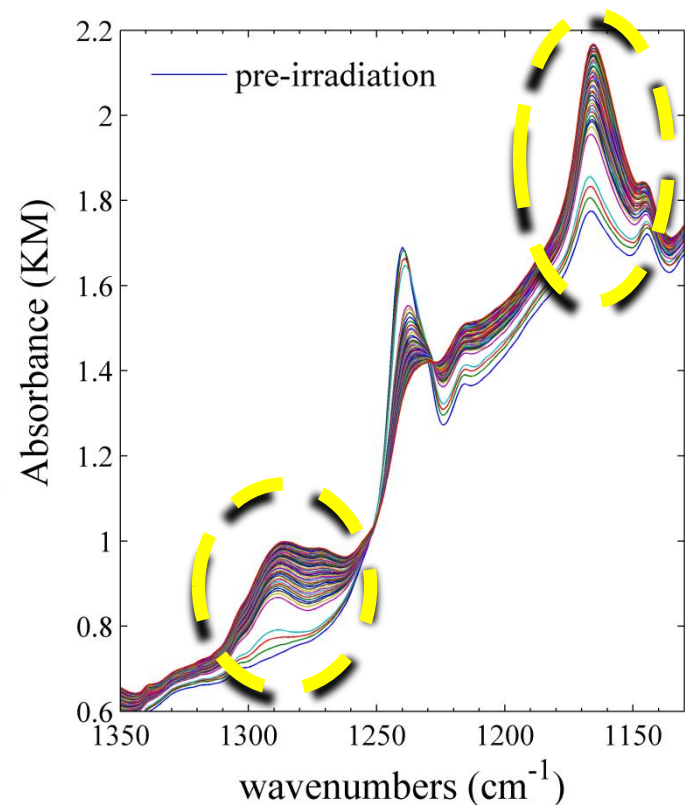
- **Cytosine** and **hypoxanthine** have a greater photostability
- For **adenine** and especially **uracil** degradation was observed both pure and adsorbed onto MgO and forsterite
- **Minerals** make degradation faster and more probable

Photoproducts marker bands

Uracil



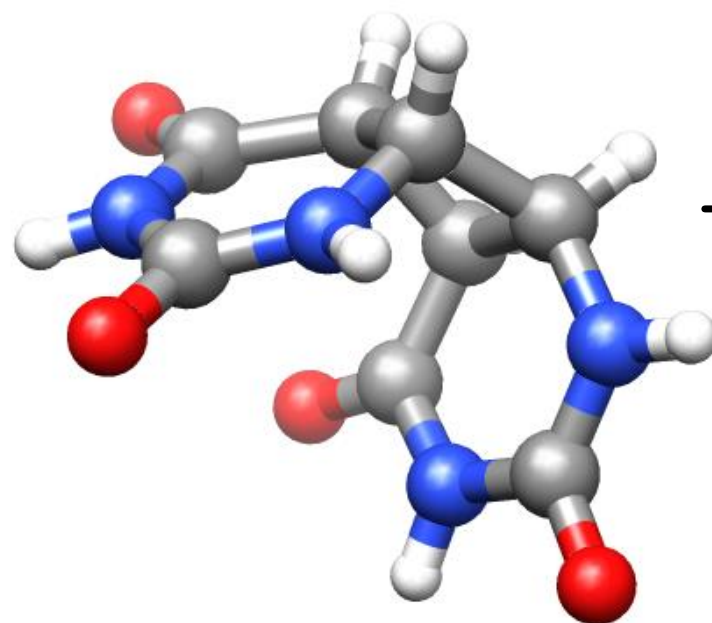
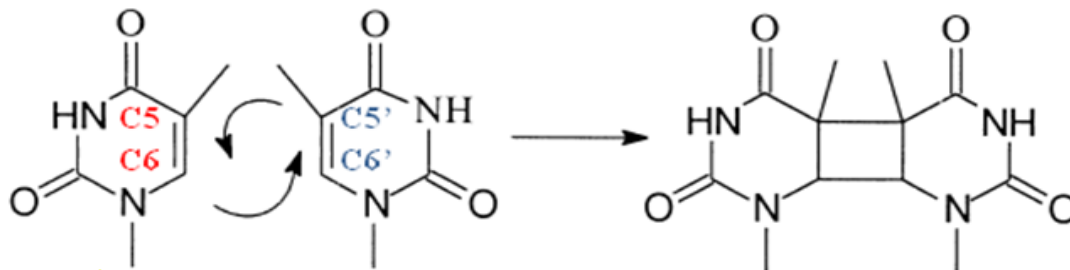
Uracil adsorbed on Forsterite



UV IRRADIATION EXPERIMENTS

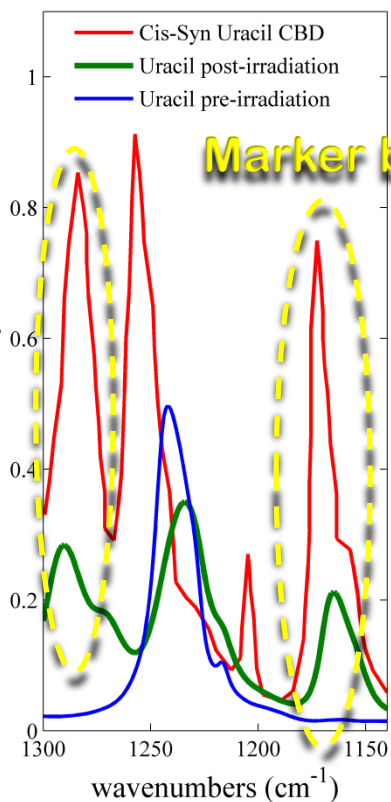
Proposed Photoproducts

[2+2] Photocycloaddition



The main photoproduct:

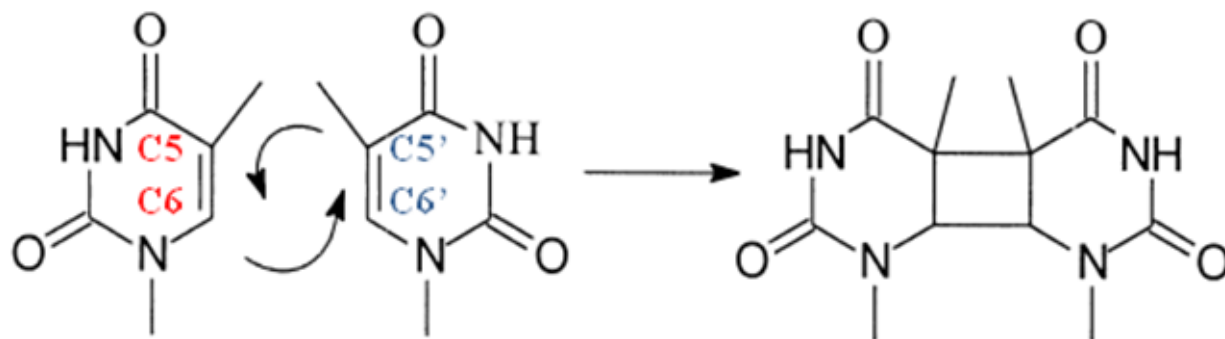
**Cis-syn cyclobutane
dimer (CBD)**



Varghese, A.J. *Biochemistry* **1971**, 10 (23), 4283-4290; Shetlar, M.D.; Basus, V.J. *Photochemistry and Photobiology* **2011**, 87 (1), 82-102.

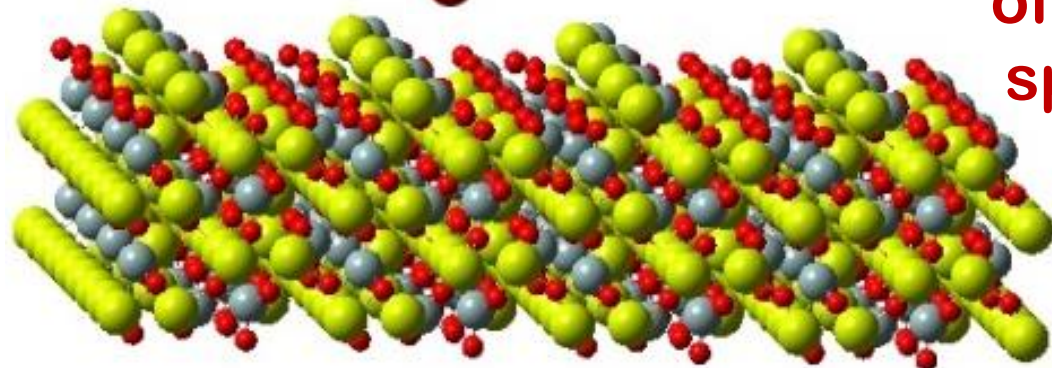
Catalytic Effect of Forsterite

[2+2] Photocycloaddition



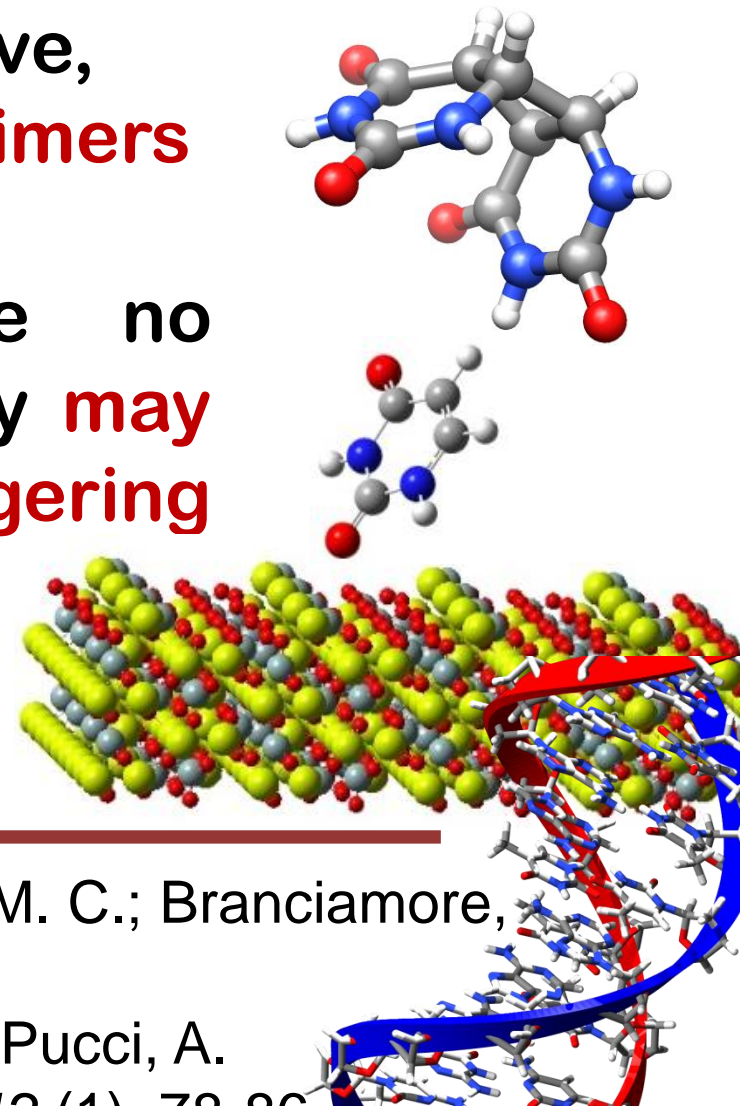
✓ Concentrates molecules on a local scale through adsorption

✓ Induces the correct orientation of reactive groups through specific molecule-mineral interactions



Summary

- Uracil is the most photoreactive, probably forming **cyclobutane dimers**
- **MgO** and **Forsterite** have no protective effect, instead they **may be catalytic potentially triggering chemical processes towards complex species**

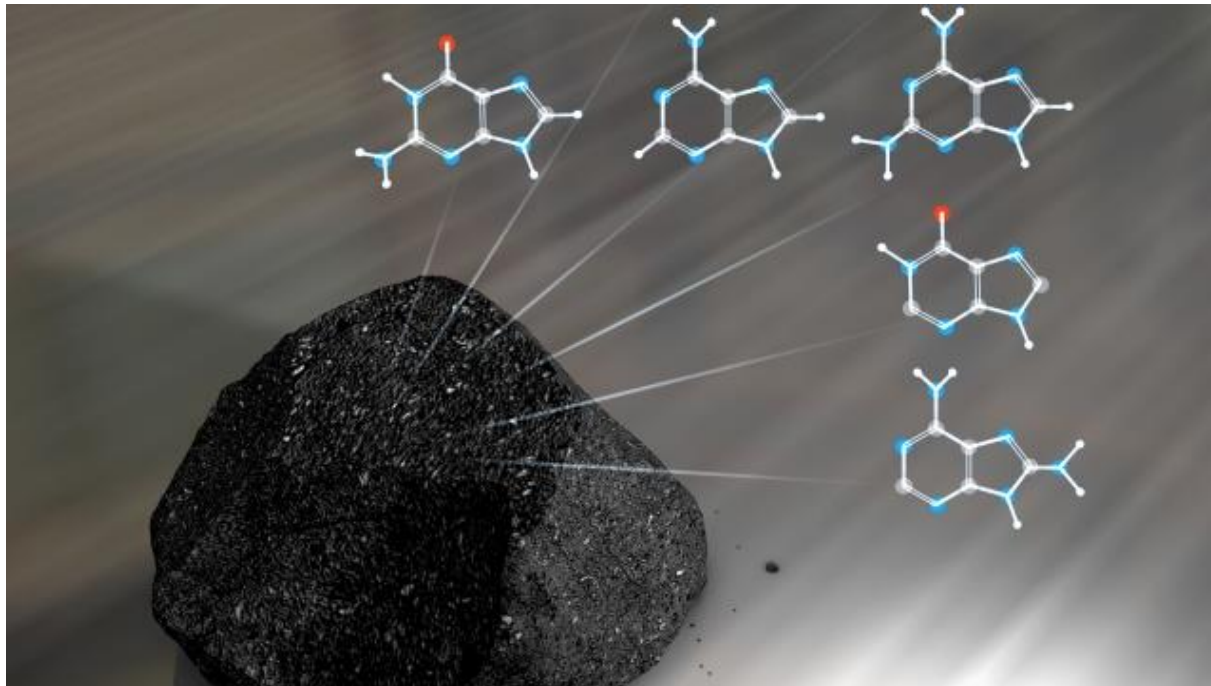


Fornaro, T.; Brucato, J. R.; Pace, E.; Guidi, M. C.; Branciamore, S.; Pucci, A. *Icarus* **2013**, 226, 1068-1085.

Fornaro T.; Brucato, J. R.; Branciamore, S.; Pucci, A. *International Journal of Astrobiology* **2013**, 12 (1), 78-86.

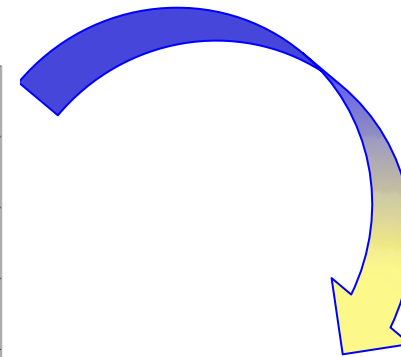
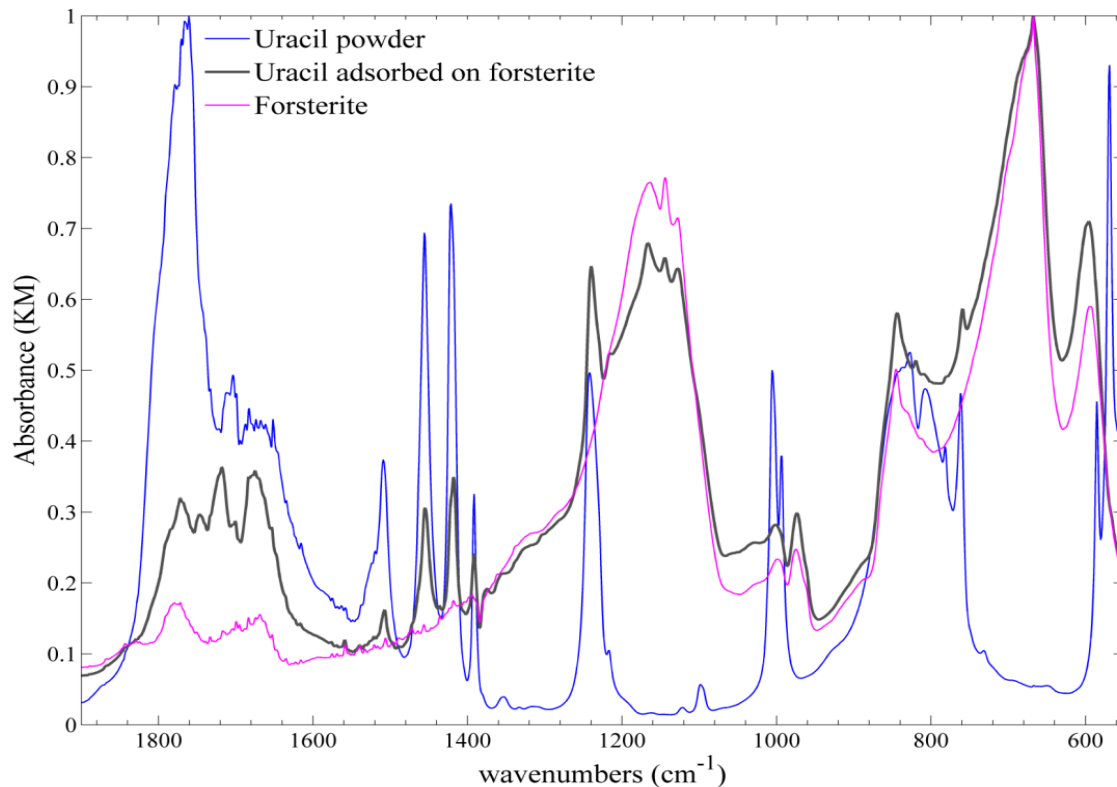
Open Questions

- What are the causes of the **different behavior of nucleobases** in the presence of UV radiation?
- What is the **photochemistry of the degradation process** at a mechanistic level?



Open Questions

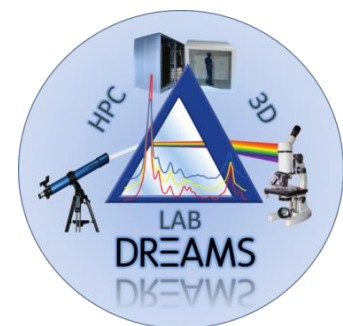
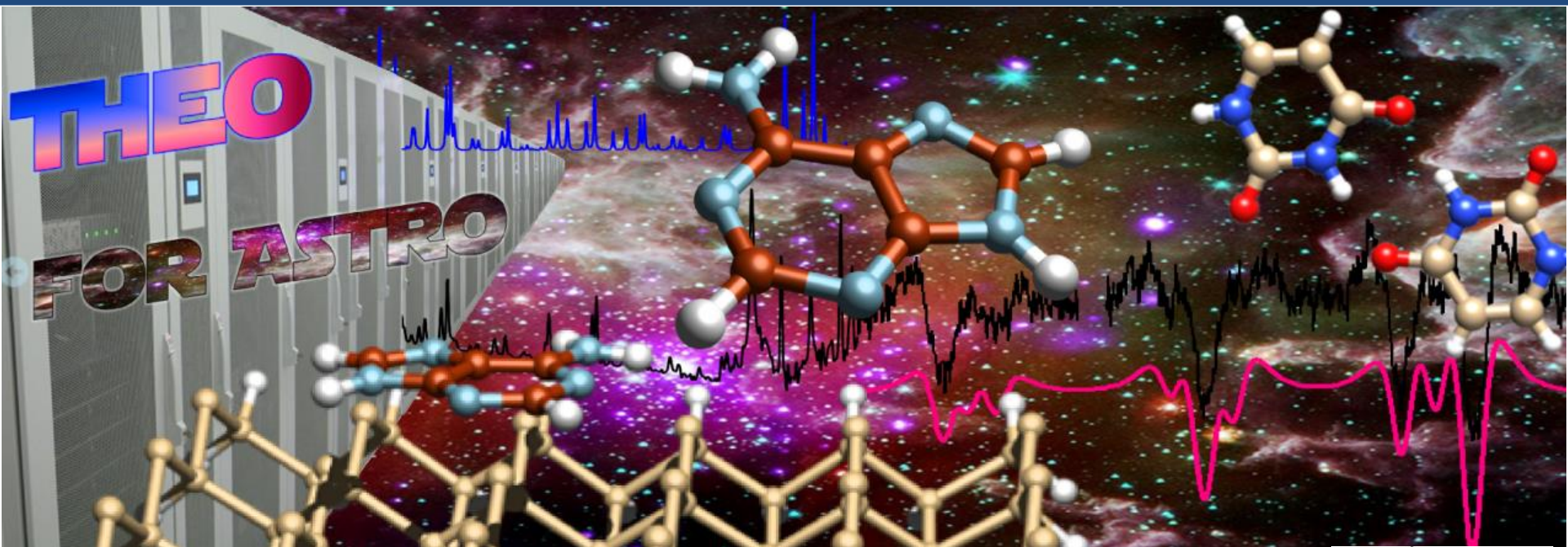
- Which are the causes of the **different behavior of nucleobases** in the presence of UV radiation?
- Which is the **photochemistry of the degradation process** at a mechanistic level?



Need of a correct interpretation of the spectroscopic features

COMPUTATIONAL SPECTROSCOPY

Development of a computational procedure based on quantum mechanical anharmonic computations of vibrational frequencies and IR intensities

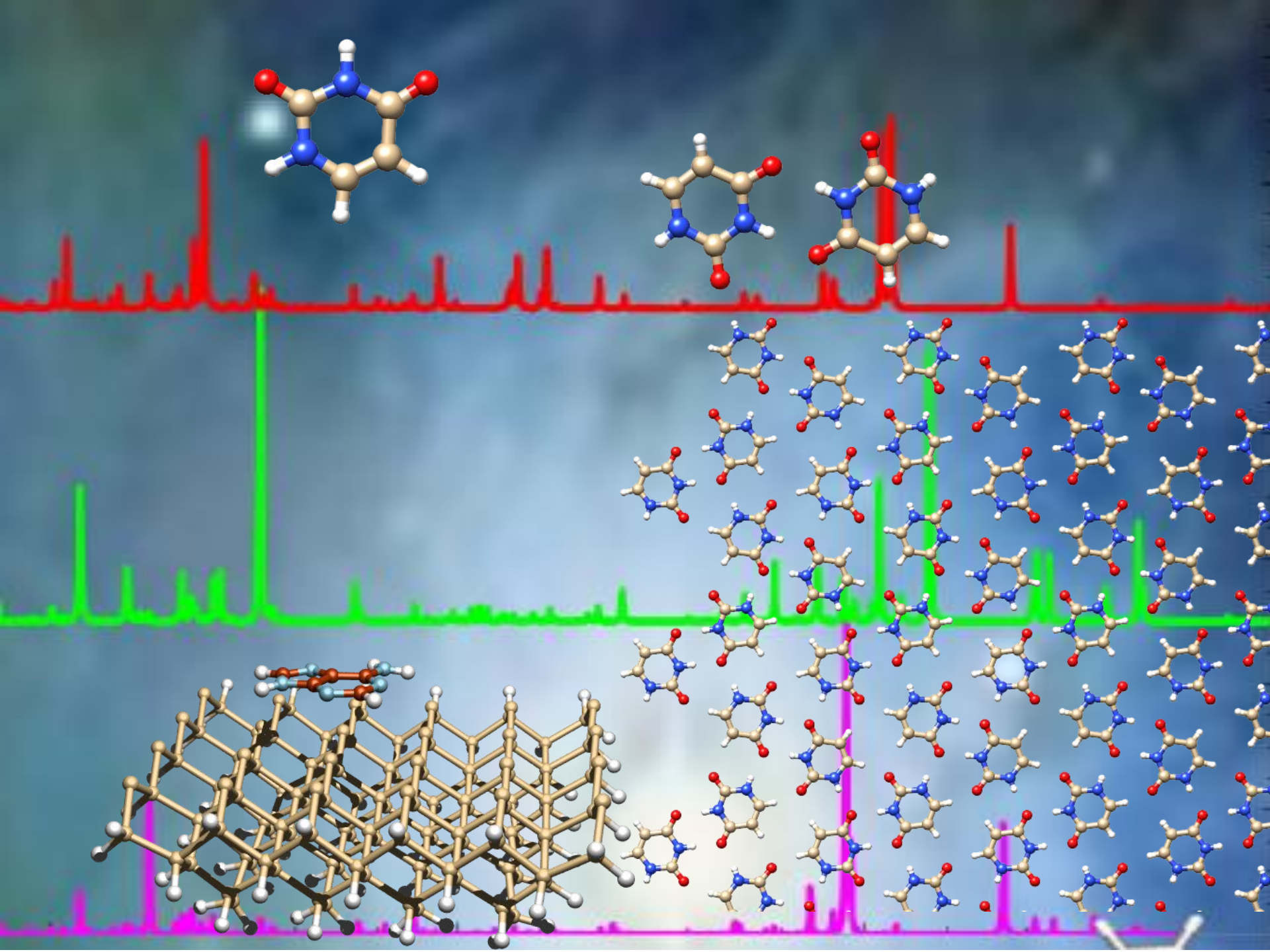


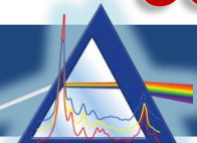
DREAMS

Dedicated Research Environment for Advanced Modeling and Simulation



SCUOLA
NORMALE
SUPERIORE





Methods

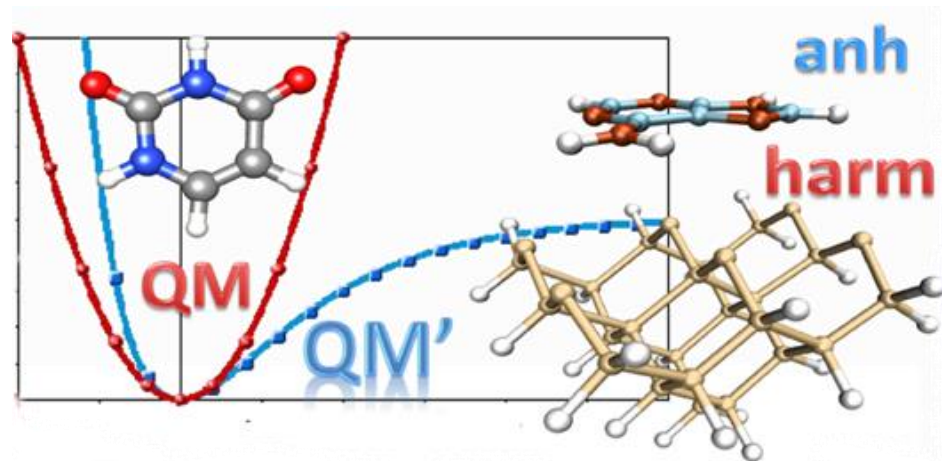
Dispersion-corrected Density Functional Theory methods

B3LYP-D3 / SNSD(N07D) (Semi-empirical dispersion correction)

Simulation of anharmonic IR spectra

Generalized second-order vibrational perturbation (**GVPT2**) model approach

$$E_v = \chi_0 + \sum_i \omega_i \left(\nu_i + \frac{1}{2} \right) + \sum_i \sum_{j < i} \chi_{ij} \left(\nu_i + \frac{1}{2} \right) \left(\nu_j + \frac{1}{2} \right)$$



Suite of programs: **GAUSSIAN**

Grimme, S. *et al.* *J. Chem. Phys.* **2010**, 132, 154104.

Barone, V. *J. Chem. Phys.* **2005**, 122, 014108.

Bloino, J.; Barone, V. *J. Chem. Phys.* **2012**, 136, 124108.

Bloino, J.; Biczysko, M.; Barone, V. *J. Chem. Theory Comput.* **2012**, 8 (3), 1015–1036.

Frisch, M. J. *et al.*, Gaussian 09 Revision D.01, 2013, Gaussian Inc. Wallingford CT 2009.

Simulation of anharmonic IR spectra: Uracil

B3LYP-D3/N07D + GVPT2 APPROACH

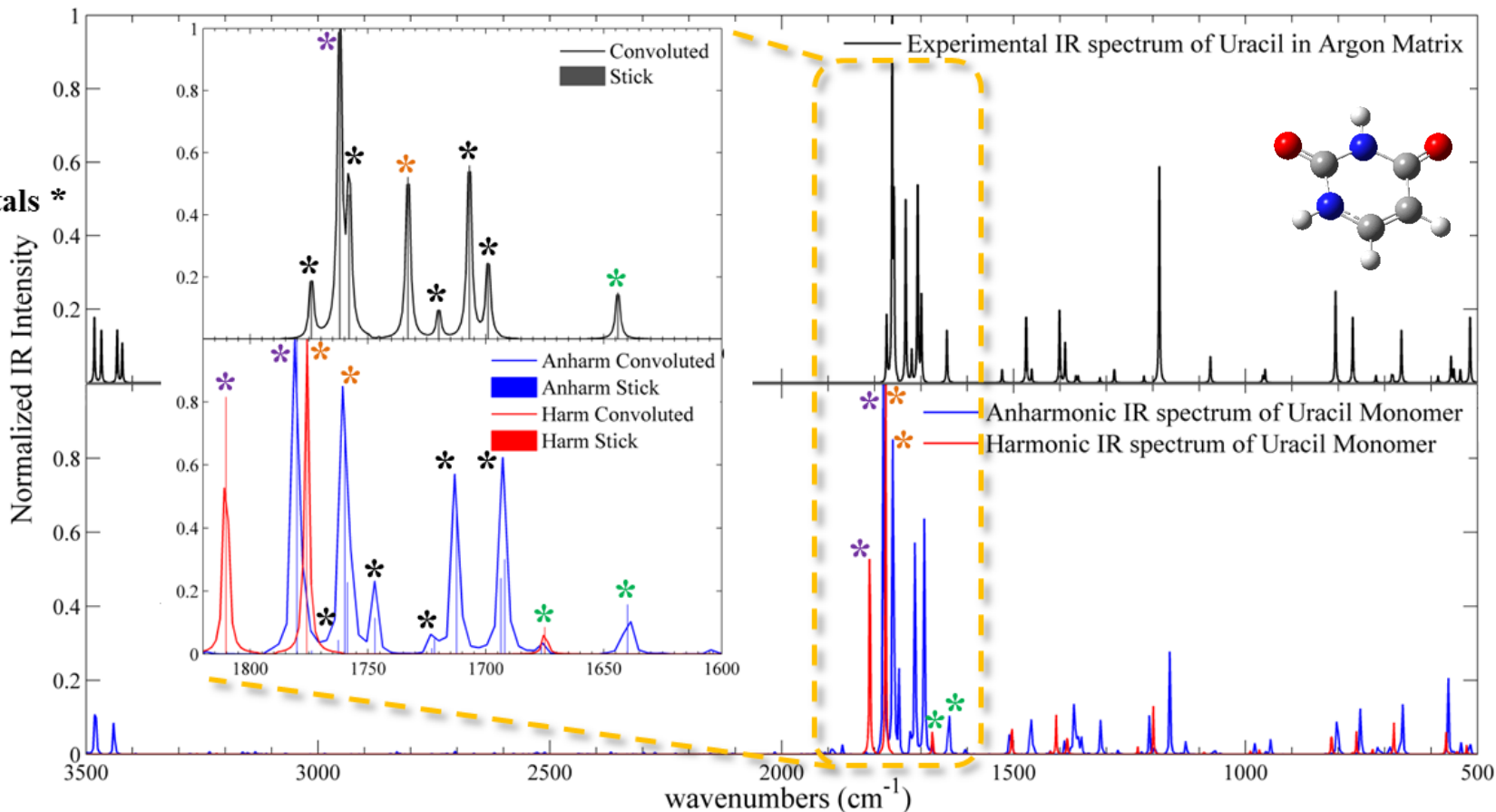
Fundamentals

$\nu_{C_2=O}$ *

$\nu_{C_4=O}$ *

$\nu_{C_5=C_6}$ *

Non-fundamentals *

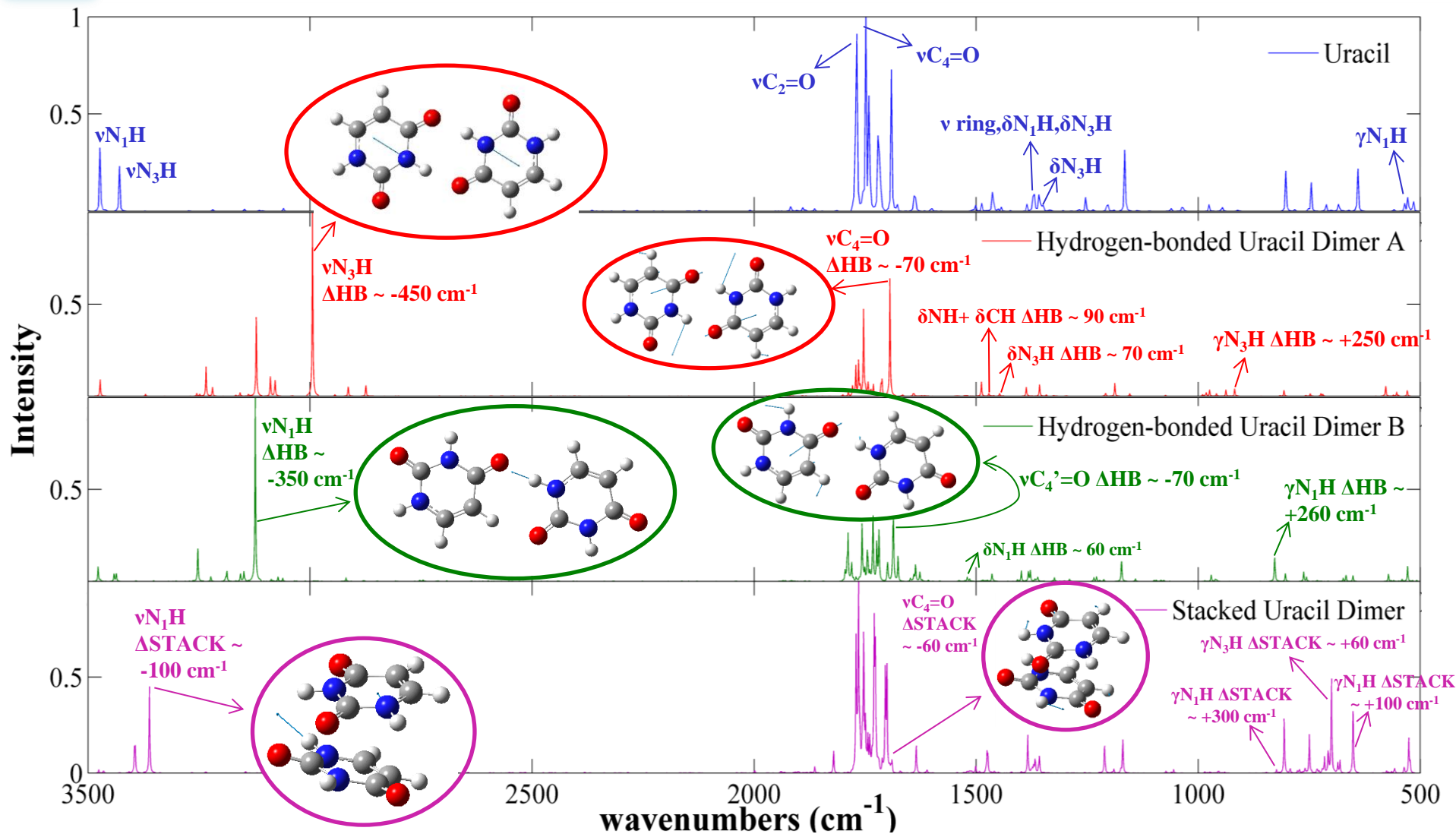


Szczesniak, M. *et al.* *J. Am. Chem. Soc.* **1983**, *105*, 5969-5976.

Fornaro, T.; Biczysko, M.; Monti, S.; Barone, V. *Phys. Chem. Chem. Phys.* **2014**, *16*, 10112-10128.

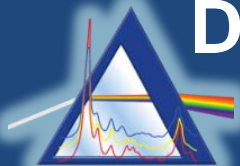
Fornaro, T.; Burini, D.; Biczysko, M.; Barone, V. *J. Phys. Chem.* **2015**, *119*(18), 4224-4236.

Effects of intermolecular interactions: Uracil Dimers



Fornaro, T.; Biczysko, M.; Monti, S.; Barone, V. *Phys. Chem. Chem. Phys.* **2014**, *16*, 10112-10128.

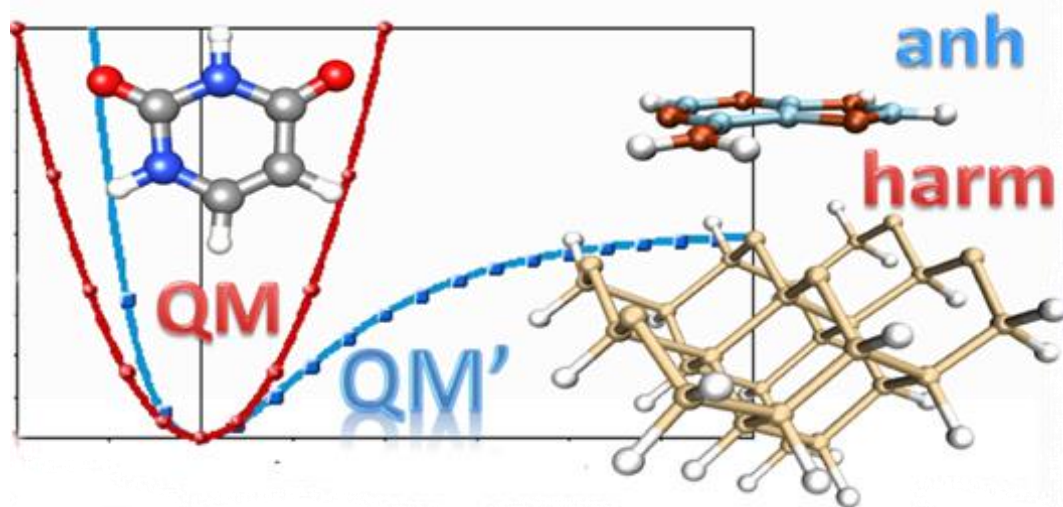
Fornaro, T.; Burini, D.; Biczysko, M.; Barone, V. *J. Phys. Chem.* **2015**, *119* (18), 4224-4236.



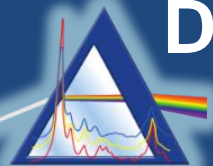
Development of feasible protocols for large systems: Uracil in the Solid State

Hybrid Model: **B3LYP-D3/N07D:DFTBA**

$$E_v = \chi_0 + \sum_i \omega_i \left(\nu_i + \frac{1}{2} \right) + \sum_i \sum_{j < i} \chi_{ij} \left(\nu_i + \frac{1}{2} \right) \left(\nu_j + \frac{1}{2} \right)$$



Carnimeo, I. et. al. *Phys. Chem. Chem. Phys.* **2011**, 13, 16713–16727. Barone, V.; Biczysko, M.; Bloino, J. *Phys. Chem. Chem. Phys.* **2014**, 16, 1759–1787. Fornaro, T.; Carnimeo, I.; Biczysko, M. *The Journal of Physical Chemistry A* **2015**, 119(21), 5313-5326.



Development of feasible protocols for large systems: Uracil in the Solid State

Reduced dimensionality (RD)-VPT2: B3LYP-D3/N07D

- Set of M “**active**” **normal modes** for which anharmonic frequencies are evaluated (**i**)
- Couplings** with other (harmonic) modes (**j,k**)

Differences wrt FULL VPT2:

- ONLY** some **limited** number of **cubic force constants** (terms including only **j** and **k** indices) are **not evaluated**
- Important **ONLY** if **j,k** and **i** are **coupled**

$$4\chi_{ij} = k_{iijj} - \frac{2\omega_i k_{iij}^2}{4\omega_i^2 - \omega_j^2} - \frac{2\omega_j k_{ijj}^2}{4\omega_j^2 - \omega_i^2} - \frac{k_{iii}k_{ijj}}{\omega_i} - \frac{k_{jjj}k_{iij}}{\omega_j} + \sum_{\substack{k=1 \\ k \neq i,j}}^N \left[\frac{2\omega_k (\omega_i^2 + \omega_j^2 - \omega_k^2) k_{ijk}^2}{\Delta_{ijk}} - \frac{k_{iik}k_{jjk}}{\omega_k} \right] + \frac{4(\omega_i^2 + \omega_j^2)}{\omega_i \omega_j} \sum_{\tau=x,y,z} B_e^\tau (\zeta_{ij}^\tau)^2$$

Selection of “active modes” based on nature of the vibrations and energy range

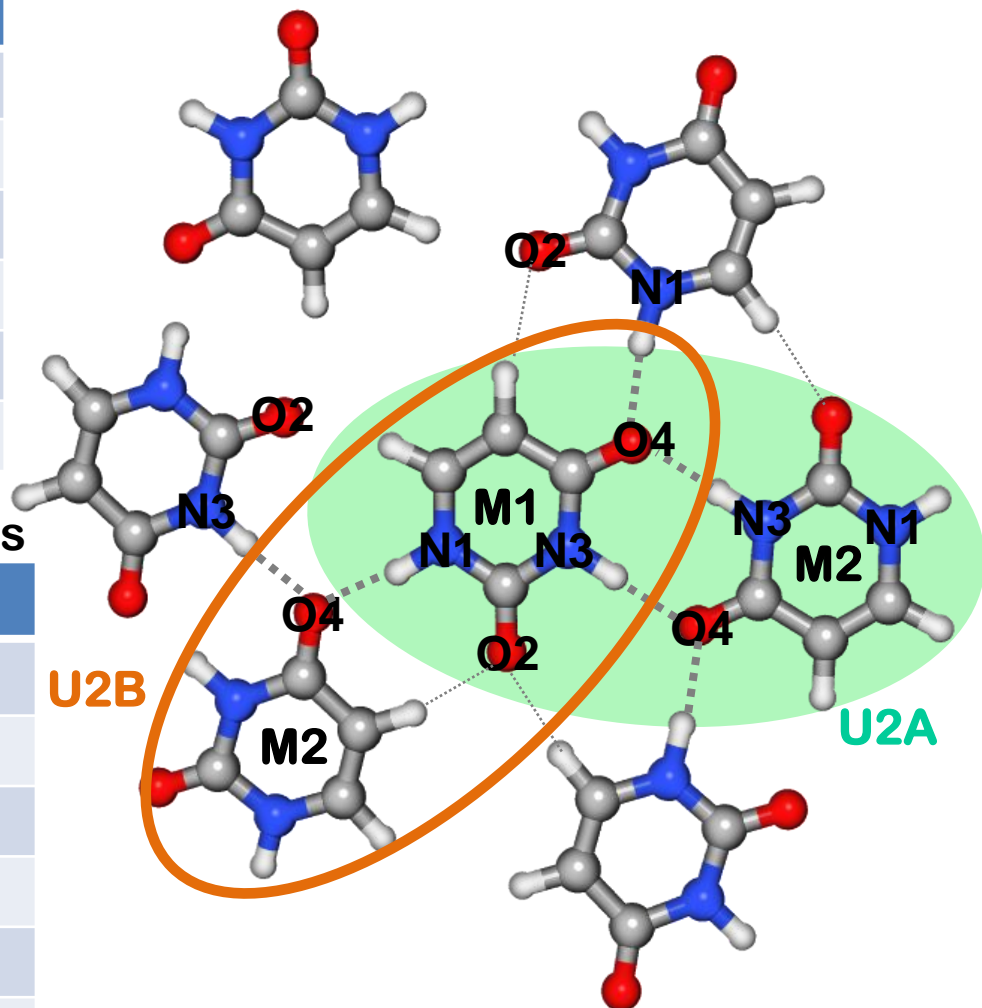
Effects of intermolecular interactions

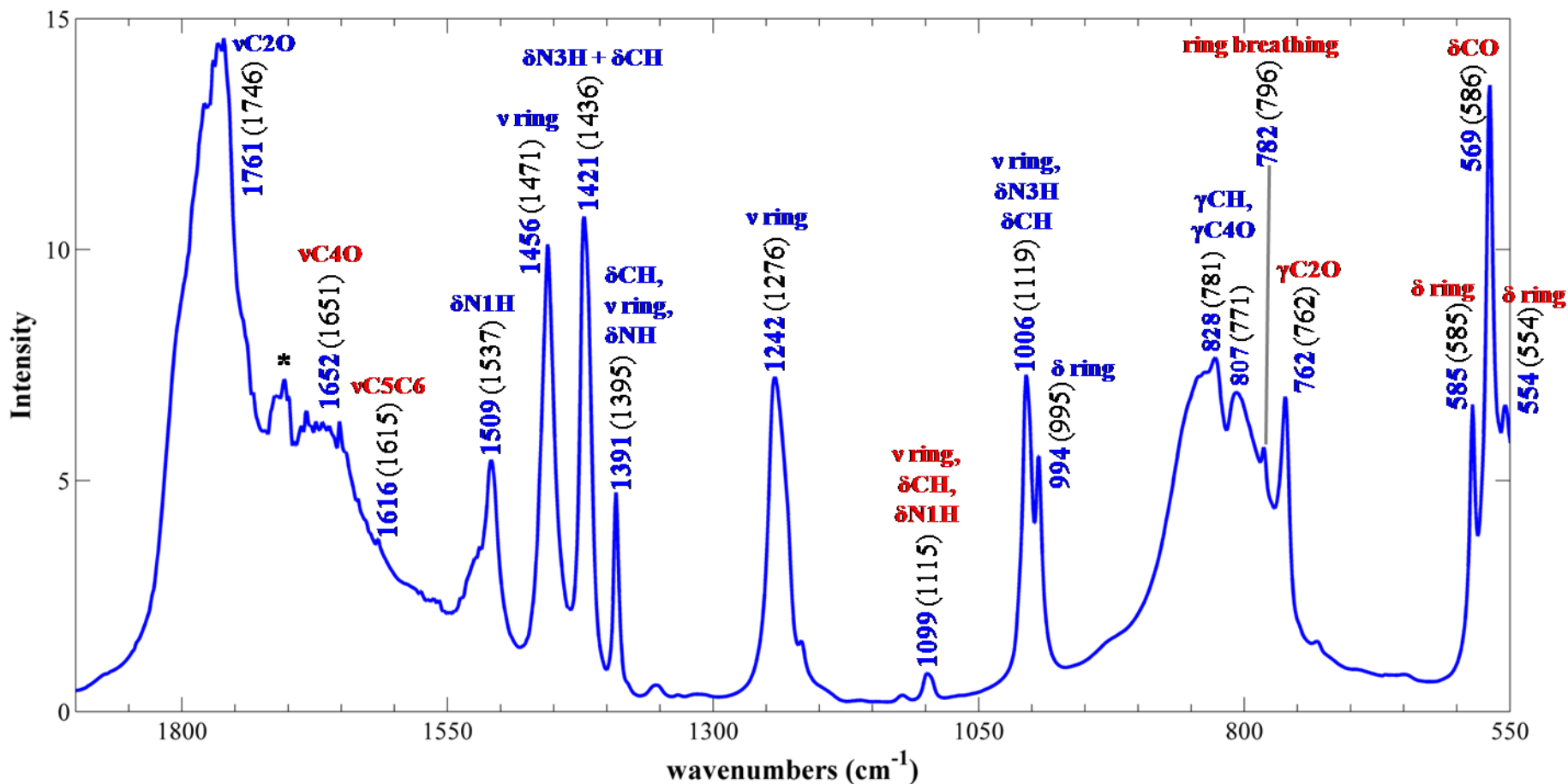
Experimental data:

assign	ν Uracil in Argon	$\Delta\nu$ Solid Uracil
ν N1H	3482	-376
ν N3H	3433	-433
ν C5H	3130	-42
ν C2=O	1762	-1
ν C4=O	1733	-81
ν C5C6	1644	-28

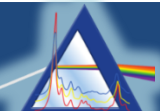
RD-VPT2 scheme: 15 selected modes

assign	ν	$\Delta\nu$		
		U	U2A	U2B
ν N1H	3473	3	-351 (M1)	-399
ν N3H	3430	-450	10	-393
ν C5H	3109	20	-35	-40
ν C2=O	1770	18	9	-24
ν C4=O	1749	-62	-55 (M2)	-98
ν C5C6	1638	-9	0	-23





Fornaro, T.; Brucato, J. R.; Pace, E.; Guidi, M. C.; Branciamore, S.; Pucci, A. *Icarus* **2013**, 226(1), 1068-1085. Fornaro, T.; Carnimeo, I.; Biczysko, M. *J. Phys. Chem. A* **2015**, 119 (21), 5313–5326.



Summary

- A **reliable** and **feasible** computational protocol for **simulating the IR spectra of quite large systems at anharmonic level** has been developed, combining the **hybrid B3LYP-D3/N07D:DFTBA** approach with the **RD-VPT2** one
- Such a protocol has prepared the ground for further studies of biomolecules adsorbed on minerals

Fornaro, T.; Carnimeo, I.; Biczysko, M. *J. Phys. Chem. A* **2015**, *119* (21), 5313–5326.

Fornaro T., Carnimeo I. *Reference Module in Chemistry, Molecular Sciences and Chemical Engineering* **2014**, [DOI: 10.1016/B978-0-12-409547-2.11025-X](https://doi.org/10.1016/B978-0-12-409547-2.11025-X)

TAKE-HOME MESSAGES...

Abiogenesis = set of processes that led to emergence of life from inorganic matter on early Earth and potentially on other planets

➔ Crucial transition from inanimate matter to biological systems probably occurred through **selection**, **concentration** and **organization of organic precursors**, yielding to the essential macromolecules of life

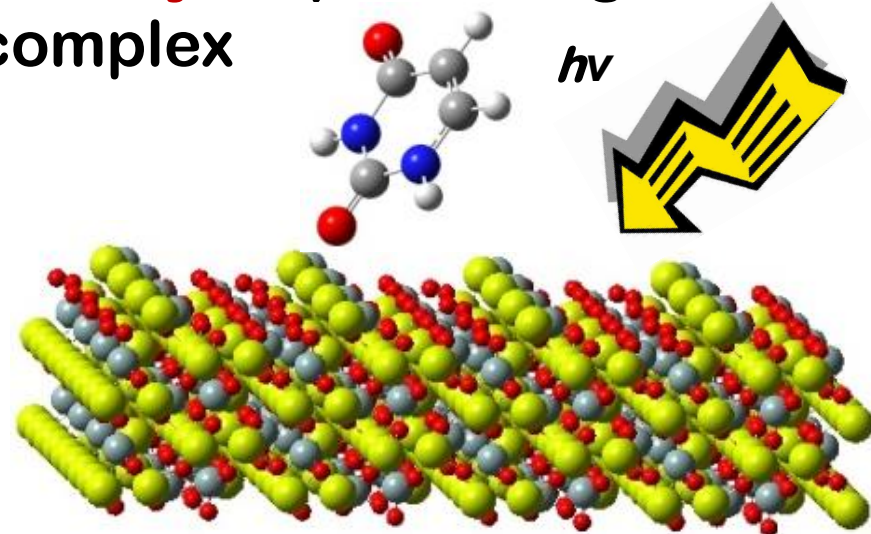


TAKE-HOME MESSAGES...

Our results:

➔ Mineral surfaces have the ability to **selectively adsorb** and **concentrate organic molecules** on a local scale, removing key organics from aqueous environments, **promoting self-organization** through specific molecule-mineral interactions

➔ Minerals can act as **photocatalysts** promoting reactions towards more complex species

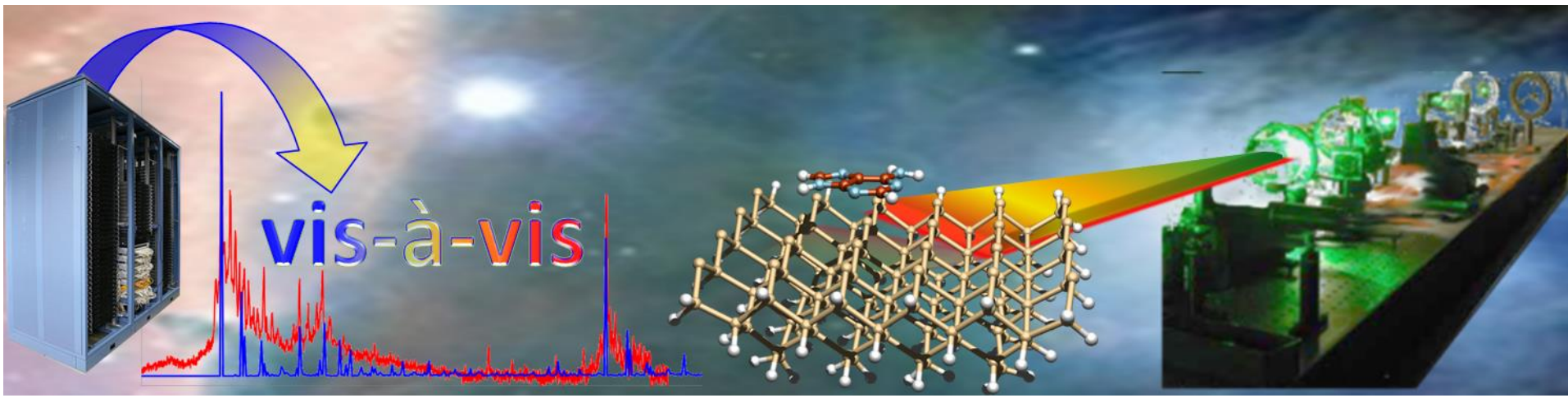


TAKE-HOME MESSAGES...

The study of abiogenesis is an overwhelming task due to the huge amount of possible reactive pathways, the complexity of the involved heterogeneous systems, the extreme environmental conditions which need to be taken into account

➔ **Spectroscopic methods** can be employed to investigate a variety of properties and processes occurring in the prebiotic systems

➔ ***In silico* modeling** is a useful tool in order to unravel the intricate experimental data for molecular systems of increasing size and complexity



Acknowledgements



❖ **INAF- Astrophysical Observatory of Arcetri (Florence):** **Dr. John Robert Brucato.**

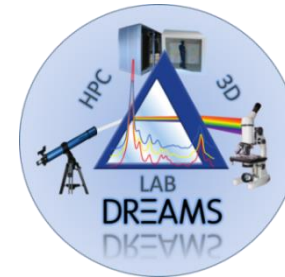
❖ **Italian Space Agency (ASI):**
Grant I/060/10/0-ExoMars Science.



❖ **Laboratori Nazionali di Frascati (LNF) – INFN:**
Dafne Luce Laboratory.



❖ **Scuola Normale Superiore di Pisa:**
Prof. Vincenzo Barone, Dr. Malgorzata Biczysko, DREAMS team.



Dedicated Research Environment for Advanced Modeling and Simulation

❖ **Geophysical Laboratory - Carnegie Institution for Science, Washington DC, USA:**

Prof. Robert Hazen, Dr. Cecile Feuillie,

Prof. Dimitri Sverjensky (Johns Hopkins University).

